Large-Scale Brain Network Coupling Predicts Acute Nicotine Abstinence Effects on Craving and Cognitive Function

Caryn Lerman, PhD; Hong Gu, PhD; James Loughead, PhD; Kosha Ruparel, MSE; Yihong Yang, PhD; Elliot A. Stein, PhD

**IMPORTANCE** Interactions of large-scale brain networks may underlie cognitive dysfunctions in psychiatric and addictive disorders.

**OBJECTIVES** To test the hypothesis that the strength of coupling among 3 large-scale brain networks—salience, executive control, and default mode—will reflect the state of nicotine withdrawal (vs smoking satiety) and will predict abstinence-induced craving and cognitive deficits and to develop a resource allocation index (RAI) that reflects the combined strength of interactions among the 3 large-scale networks.

**DESIGN, SETTING, AND PARTICIPANTS** A within-subject functional magnetic resonance imaging study in an academic medical center compared resting-state functional connectivity coherence strength after 24 hours of abstinence and after smoking satiety. We examined the relationship of abstinence-induced changes in the RAI with alterations in subjective, behavioral, and neural functions. We included 37 healthy smoking volunteers, aged 19 to 61 years, for analyses.

**INTERVENTIONS** Twenty-four hours of abstinence vs smoking satiety.

**MAIN OUTCOMES AND MEASURES** Inter-network connectivity strength (primary) and the relationship with subjective, behavioral, and neural measures of nicotine withdrawal during abstinence vs smoking satiety states (secondary).

**RESULTS** The RAI was significantly lower in the abstinent compared with the smoking satiety states (left RAI, \( P = .002 \); right RAI, \( P = .04 \)), suggesting weaker inhibition between the default mode and salience networks. Weaker inter-network connectivity (reduced RAI) predicted abstinence-induced cravings to smoke (\( r = -0.59; P = .007 \)) and less suppression of default mode activity during performance of a subsequent working memory task (ventromedial prefrontal cortex, \( r = -0.66, P = .003 \); posterior cingulate cortex, \( r = -0.65, P = .001 \)).

**CONCLUSIONS AND RELEVANCE** Alterations in coupling of the salience and default mode networks and the inability to disengage from the default mode network may be critical in cognitive/affective alterations that underlie nicotine dependence.
Cognitive dysfunction is a core component of neuropsychiatric and addictive disorders. With nicotine dependence, specific deficits in working memory (WM) emerge during nicotine withdrawal and promote smoking relapse. These deficits are accompanied by reduced activation in executive control regions (eg, the dorsolateral prefrontal cortex [DLPFC]) and less suppression of activation in task-independent regions (eg, the posterior cingulate cortex [PCC]).

These patterns of regional activity are also reflected in fluctuations in large-scale brain networks at rest. The following 3 networks have received the most attention: an executive control network (ECN) implicated in attention to and processing of exogenous stimuli; a default mode network (DMN) involved in stimulus-independent thought processes (eg, self-referential thinking); and a salience network (SN) facilitating orientation to external vs internal stimuli and allocating attention. Evidence suggests a negative correlation between ECN and DMN activities and a role for the SN in modulating relative activity in the ECN vs DMN.

Sutherland and colleagues recently proposed that, in the nicotine-deprived state, the SN allocates enhanced attentional resources toward internal symptoms of withdrawal, thereby biasing activity toward the DMN and away from the ECN. Given the hypothesized role of the SN in toggling resources between the ECN and DMN, a composite quantitative network association index integrating the SN-ECN (positive) and the SN-DMN (negative) correlation, referred to as the resource allocation index (RAI), is proposed to assess nicotine withdrawal effects on this triple network interaction. We examined the RAI in smokers undergoing functional magnetic resonance imaging after 24 hours of abstinence and smoking satiety and tested the hypotheses that the RAI would (1) be weaker in the abstinent compared with the smoking states, (2) predict abstinence-induced changes in craving, and (3) predict abstinence-induced impairments in cognitive performance and neuronal activation.

### Methods

#### Participants

Fifty-four smokers (≥10 cigarettes per day for ≥6 months) gave written informed consent as approved by the University of Pennsylvania institutional review board. Subjects were required to be 18 to 65 years of age and right-handed, with no history of brain trauma or DSM-IV Axis I psychiatric disorders or substance (except nicotine) dependence. Exclusion criteria consisted of use of other tobacco or cessation products, pregnancy, and IQ scores of less than 90 on the Shipley Institute of Living Scale.

#### Design

Smokers participated in the following 2 imaging sessions: after 24 hours of biochemically confirmed abstinence (carbon monoxide level, <10 ppm) and after smoking as usual (last cigarette about 20 minutes before the scan). Sessions were performed 1 to 3 weeks apart in counterbalanced order. Participants refrained from use of alcohol or other drugs for at least 24 hours before sessions, confirmed by results of a urine drug screen and a breath test for alcohol. Severity of nicotine dependence was assessed by the Fagerström Test for Nicotine Dependence (FTND). The Minnesota Nicotine Withdrawal Scale, the brief Questionnaire on Smoking Urges (QSU), and the Positive and Negative Affect Schedule were administered before each scan. Subjects underwent a 5-minute high-resolution anatomical scan, a 6-minute resting scan, and a 15-minute visual N-back WM task. Unless otherwise specified, data are expressed as mean (SD).

### Functional Magnetic Resonance Imaging Data

Data were collected on a commercially available scanner (3T Trio; Siemens). Participants were instructed to relax and lie still with their eyes focused on a central white cross on a black screen during the resting scan. Whole-brain blood oxygenation level-dependent (BOLD) functional magnetic resonance imaging data were acquired using a single-shot gradient-echo planar sequence with the following parameters: 3000/32 milliseconds of repetition/echo times, 90° flip angle, 192 × 192 mm² field of view, 64 × 64 matrix, and 3/0-mm slice thickness/gap. For spatial normalization purposes, high-resolution T1-weighted anatomical images were acquired.

Data preprocessing (using AFNI software) included slice timing and motion correction, spatial normalization to Talairach space with a resampled resolution of 3 × 3 × 3 mm³, non-linear registration, quadratic detrending, gaussian spatial smoothing (full width half maximum, 6 mm), and mean-based intensity normalization. Mean relative head movement was evaluated with the following mean Euclidean norm of the 6-motion parameter derivatives:

\[
||\text{motion}||_2 = \frac{1}{N} \sum_{i=1}^{N} ||\text{motion}||_2
\]

where

\[
||\text{motion}||_2 = \sqrt{\Delta d_x^2 + \Delta d_y^2 + \Delta d_z^2 + \Delta \alpha_x^2 + \Delta \beta_x^2 + \Delta \gamma_x^2}
\]

The rotational displacements were converted from radians to millimeters by calculating displacement on the surface of a sphere with a radius of 60 mm. We excluded participants with excessive head motion, including 14 during the resting scan and 6 during the WM task scan (including 4 with overlap from the resting scan) (||motion||_2 > 0.25 mm). We also excluded 1 participant with poor WM task accuracy (>2.5 SD below the mean), leaving 37 participants (including 19 women) in the analysis. Mean (SD) head movement was 0.11 (0.05) mm (during abstinence) and 0.09 (0.05) mm (during smoking).

Excessive head motion can induce spurious correlation structures in resting connectivity analysis. Thus, we used several steps to minimize the influence of head motion, including (1) stringent movement criteria to exclude subjects with mean ||motion||_2 greater than 0.25 mm, (2) censoring of data points when ||motion||_2 was greater than 0.4 mm, and (3) including mean ||motion||_2 as a covariate.
Resting Functional Networks

We applied group independent component analysis (GICA) to the resting data using MELODIC (FMRIB Analysis Group, Oxford University). The preprocessed data in Talairach space from both sessions were submitted to MELODIC using the command-line tool with the component number set at 20 and the decomposition approach set as temporal concatenation. The GICA spatial maps were converted to z score maps and then thresholded via a mixture model fit to identify voxels contributing to each independent component. The SN, DMN, and ECN were identified by visual inspection of the thresholded GICA maps. The similarity in the spatial extent between the identified networks and previously published results was assessed using spatial cross-correlation. Spatial cross-correlation of the SN, ECN, and DMN (transformed to the Montreal Neurological Institute space) with maps described by Beckmann et al show that the identified ECNs are consistent with their frontoparietal network, whereas our SN is spatially consistent with their ECN.

Using the GICA component maps as spatial predictors for each participant’s 4-dimensional data, a linear regression generated a time course for each component. As a measure of cross-network coupling, we calculated correlation coefficients (CC) between component time courses derived from the SN, ECN, and DMN regions.6 The WM-related BOLD contrast were normalized by subtracting the 0-back contrast from each load condition (i.e., 1b – ob, 2b – ob, and 3b – ob).

RAlAlyses

Linear mixed-effects modeling (SPSS, version 20.0; IBM Corporation) tested session effects, including age, years of smoking, FTND, IQ, and mean relative head motion as covariates. The relationship between changes of subjective withdrawal and craving and changes of the RAI (smoking vs abstinence states) was investigated using the following regression model:

\[ \Delta Y = \beta_0 + \beta_1 \Delta W + \beta_2 \cdot age + \beta_3 \cdot Smoking Year + \beta_4 \cdot FTND + \beta_5 \cdot IQ + \beta_6 \cdot \Delta motion + \epsilon \]

where \( \Delta Y \) is the arithmetic difference of the Minnesota Nicotine Withdrawal Scale withdrawal score or the brief QSU score between the abstinent and smoking states, and \( \Delta W \) is the difference of the RAI between the 2 states.

The relationship between changes in the RAI and changes in WM task performance (accounting for memory load) was investigated using a repeated-measures general linear model controlling for age, years of smoking, FTND, IQ, and head motion. Changes in WM task performance on the 4 task conditions (0-1, 1-2, 2-3, and 3-back) were measured by median correct response time (ART) and d prime (Dprime), a measurement of accuracy (z value of hit rate minus false alarm rate).

The correlation between the RAI and the WM task activation changes was investigated as in the performance model. The task-induced BOLD signal percentage change (DS/FS) for each memory load was extracted from 5 regions of interest identified by a 2 (state) × 4 (memory load) analysis of variance, where

\[ \frac{DS}{FS} = \frac{S_{\text{ab}} - S_{\text{ob}}}{S_{\text{ob}}} = \frac{\beta_{\text{ob}}}{\beta_{\text{ob}}} \times 100\% \]

Results

Descriptive Data

The 37 smokers (including 19 women) had a mean age of 40.8 (13.2) years (range, 19-61 years) and initiated smoking at 16.5 (3.7) years of age. They smoked a mean of 16.2 (5.1) cigarettes per day, had a mean FTND score of 4.9 (1.6), and smoked for a mean of 24.3 (13.7) years. Mean withdrawal scores (11.5 [8.4]) and urge scores (43.7 [16.4]) in the abstinen ce state were significantly higher than in the smoking state (3.3 [3.8] and 23.9 [10.8], respectively; \( P = .0001 \)). Negative affect was higher in the abstinance vs smoking states (15.4 [6.6] vs 11.4 [2.5]; \( P = .0001 \)). Abstinence was associated with slower median correct response time (\( P = .002 \)) and lower d prime (\( P = .004 \)).

Resting Networks

Of the 20 GICA components, 4 were identified as SN, ECN, and DMN and were thresholded for display at \( z = 3.5 \) (Figure 1). The ECN was decomposed into 2 strongly lateralized components, an observation commonly reported and referred to...
as the left ECN (LECN) and right ECN (RECN). Spatial cross-correlation between these networks and previous results revealed a high degree of similarity, with a mean $r = 0.55$ (range, 0.37-0.65).

**Smoking Abstinence Effects**

When we considered the LECN and RECN independently, the 2 RAIs were defined corresponding to composite network associations. Because participants showed significantly more relative head motion in the abstinence vs smoking states ($P = .02$), head motion was included as a covariate in addition to age, years of smoking, FTND, and IQ. The 3-dimensional rendering maps in Figure 2 illustrate the composition of the RAI in both hemispheres and the change in the RAI between abstinent and smoking states. The RAI decreased significantly in the abstinence compared with the smoking state (left RAI, $P = .002$; right RAI, $P = .04$).

The RAI combined SN-ECN and SN-DMN coupling. To better understand which between-network connection was responsible for reducing the RAI during abstinence, we performed post hoc analyses on the session effects of the SN-ECN coupling ($z_{SN,LECN}$ and $z_{SN,RECN}$) and the SN-DMN coupling ($z_{SN,DMN}$), controlling for all the above covariates. The anticorrelation between the SN and DMN was significantly reduced during the abstinence state ($P = .02$), whereas the correlation between the SN and LECN ($P = .04$) but not the correlation between the SN and RECN ($P = .45$) was reduced (Figure 3).

**Subjective Measures**

The change of the right hemisphere RAI (abstinence – smoking) was negatively correlated with changes in smoking urge ($r = -0.59; P = .007$). Compared with the smoking state, the more the right hemisphere RAI decreased during abstinence, the more urges the subject reported (Figure 4). The change in the right hemisphere RAI showed a trend for a similar negative correlation ($r = -0.35; P = .09$) with withdrawal changes measured by the Minnesota Nicotine Withdrawal Scale. The increase in negative affect showed a nonsignificant negative correlation with RAI change ($r = -0.37; P = .09$).

**Working Memory**

We found significant RAI-task activation correlations in all task-negative but not task-positive regions. The decrease of the left hemisphere RAI during the abstinence state predicted less WM task-induced BOLD deactivation in the VMPFC ($r = -0.66; P = .003$) and PCC ($r = -0.65; P = .001$; Figure 5). No significant interactions of memory load by the RAI on performance or activation were found.
We found a negative trend for an association between the right RAI and WM task performance ($r = -0.39; P = .08$, controlling for memory load); as the RAI decreased during the abstinence state, the participant responded correctly more slowly. We found no association between the RAI and task accuracy. Figure 6 summarizes the significant associations between the abstinence-induced changes in the RAI and the abstinence-behavioral and WM-related measures.

Finally, to further instantiate that the RAI has unique merit, we investigated the relationship between $z_{SN,DMN}$ and urge scores and between $z_{SN,DMN}$ and WM task-induced BOLD deactivation. Coupling between the SN and DMN alone did not produce the observed RAI behavioral and neural relationships ($z_{SN,DMN}$ and urge association, $P = .38$; $z_{SN,DMN}$ and WM-task-induced BOLD deactivation association, $P = .59$ [VMPFC] and $P = .05$ [PCC]). These results show the importance of col-
Dysregulated interactions within and between 3 core brain networks (ie, the ECN, DMN, and SN) may underlie neuropsychiatric and addictive disorders. A composite network association index (the RAI) integrating the SN-ECN and SN-DMN cross-network correlations was developed to assess our network interaction hypothesis. The RAI in smokers was significantly lower in the abstinence compared with the smoking state. Post hoc analyses revealed a significantly weaker (negative) SN-DMN correlation during abstinence, suggesting that weaker inhibition between the DMN and SN was the driving force for the lower RAI. Also, change in the RAI during the abstinence (compared with smoking) state was negatively correlated with changes in smoking urges, suggesting that weaker network connectivity contributes to urges to smoke. Consistently, lower RAI values in the abstinence (compared with smoking) state also predicted less BOLD suppression in task-negative (DMN) regions during WM task performance.

Cognitively demanding tasks activate brain regions within the SN (eg, insula, dorsal anterior cingulate cortex) and ECN (eg, DLPFC) and deactivate regions of the DMN (eg, PCC, VMPFC). Alterations in coupling between these networks, and specifically the failure to suppress DMN activity, increase the probability of error on cognitive tasks. We extend these observations by demonstrating the predictive validity of a novel index (RAI) that combines the SN-DMN and SN-ECN correlation strength additively, thereby reflecting concurrent adjustments in task-positive and task-negative activity that may be attributable to the detection of salient events and modulation of activity via the SN. The RAI should be more sensitive than individual paired network couplings (SN-DMN and SN-ECN), provided that the noise probability distribution functions in the individual indexes are not fully dependent.

The strong negative correlation between changes in the RAI and changes in urges to smoke and the association of RAI reductions with failed suppression of DMN activity during a WM task suggest that concurrent alteration of SN-DMN and SN-ECN couplings may be integral in processing divided attentional resources, cognitive functions, and, in this instance, a clinically relevant symptom of nicotine withdrawal. Further, although many studies have reported lateralized prefrontal functions, the data-driven GICA not only identified lateralized coherence patterns for the ECN, but the lateralized RAI indicated that each predicted independent but related functions. The RAI for the left hemisphere predicted changes in WM BOLD imaging; for the right hemisphere, changes in craving.

These findings further our understanding of aberrant neural mechanisms underlying cognitive deficits observed in ab-

Figure 6. Schematic of Changes in the Association of the Resource Allocation Index (RAI) Between the Abstinence vs Smoking Sessions

Changes in the working memory (WM)–induced signal percentage change between states (dS/Sa−s), the RAI in the right and left hemispheres between states (ΔmR,a−s and ΔmL,a−s, respectively), and the brief Questionnaire on Smoking Urges (QSU) score between states (ΔQSU,a−s) are depicted. PCC indicates posterior cingulate cortex; VMPFC, ventromedial prefrontal cortex. Upward arrow indicates increase; downward arrows, decrease.

Figure 5. Resource Allocation Index (RAI) Correlations With Working Memory (WM) Task Activation

Partial regression plots of the difference between the abstinence vs smoking states of the WM task-induced blood oxygenation level-dependent change (dS/Sa−s, %) averaged across memory load in the ventromedial prefrontal cortex (A) and posterior cingulate cortex regions (B) against the difference of the RAI in the left hemisphere (ΔmL,a−s). Markers indicate individual participants.
staining smokers2-5 and how these deficits may increase relapse risk.4,5 We propose that in the nicotine-deprived state, the SN increases the allocation of attentional resources to attend to abstinence-induced cravings to smoke, leading to a bias toward enhanced DMN activity (i.e., decreased inhibition of the DMN). Concurrently, weaker SN-ECN coupling during abstinence decreases ECN operations. The combination of decreased ECN activity and less suppression of DMN activity may result in cognitive deficits.22-45 Moreover, reductions in ECN activity may increase smokers’ difficulty in exerting top-down cognitive control to resist urges to smoke.

In addition, abstinence-induced alterations in SN-DMN coupling might relate to dysphoria associated with nicotine withdrawal. Smokers exhibited significant increases in negative mood symptoms in the abstinence vs smoking states, and the reduction in the RAI in abstinence (vs smoking) showed a trend for association with increased negative affect. Likewise, patients with depression and dysthymia show increases in resting-state DMN activity and altered DMN connectivity compared with control patients.46,47 an effect normalized by antidepressant treatment.48 These abnormalities in resting-state connectivity are thought to contribute to DMN hyperactivity and an inability to shift attention away from ruminations that characterize these disorders. Thus, we speculate that smokers attempting to quit may also experience difficulty disengaging from self-focused thoughts related to withdrawal discomfort, as reflected in the weaker SN-DMN anticorrelation in the RAI.

Despite the strengths of this study, including the relatively large sample size and within-subject design, these data should be interpreted in light of potential limitations. The RAI was based on a hypothesized model of abstinence-induced network interactions leading to cognitive deficits; we did not explore whether other network components contribute to the model. The abstinence manipulation to induce alterations in the RAI was performed at a single time and should be manipulated parametrically. The RAI could also be applied in other dysregulated states and related to functional magnetic resonance imaging data acquired from a variety of tasks. If the RAI is to be applied as a diagnostic biomarker, test-retest studies (as previously demonstrated with GICA reliability assessments49-50) are needed to demonstrate its reproducibility and clinical significance.

Additional technical issues need to be considered. The RAI was defined based on the results from the data-driven GICA analysis using a 20-component solution. We also performed GICA using component numbers of 25 and 30. The mean spatial cross-correlation between the visually identified DMN, SN, and ECN components and those previously published14 did not significantly differ as a function of dimensionality (P > .50). All of our subsequent RAI calculations and analyses were based on network maps with the component number set at 20. In addition to differences in the literature on the spatial extent of network components, the nomenclature differs. For example, what we call the ECN has also been labeled the central executive network51 and the frontal-parietal network.11 Their spatial extents are similar and all are task-positive networks; the interchangeability of names does not alter the significance of our findings. Finally, the RAI was based on a specific 3-network interaction model.52 Additional networks may also contribute to the abstinence mechanism.

Despite these limitations, the present findings point to a novel pathophysiological mechanism underlying nicotine withdrawal symptoms that promote smoking relapse. These data move beyond the concept of a dysregulated DMN to suggest that the ability of the SN to toggle (or perhaps rapidly oscillate) between the ECN and the DMN, along with the ability to disengage from DMN activity, may be critical in cognitive alterations that underlie nicotine addiction. Because successful quitting requires top-down executive cognitive control over smoking urges, exploration of the relationship of the RAI to quitting success in a longitudinal cohort study would be important. On validation, the RAI could serve as a clinical biomarker to identify smokers who are most likely to respond to a particular treatment. For example, evidence that antidepressant treatment normalizes altered resting-state DMN connectivity among patients with dysthymia46 suggests that smokers who exhibit abstinence-induced reductions in the RAI may benefit from the antidepressant bupropion hydrochloride for smoking cessation. With additional validation in other cohorts, the RAI could also be a potential biomarker for screening novel treatments for smoking cessation.51

**Conclusions**

Alterations in SN-DMN coupling and the inability to disengage from the DMN may be critical in cognitive/affective alterations that underlie nicotine dependence. Further studies may validate the use of the RAI as a potential biomarker to identify smokers most likely to respond to a particular cessation therapy.
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REFERENCES


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