

Congratulations on your recent award.

Because this award was issued under the American Recovery and Reinvestment Act (ARRA), it is subject to additional terms and conditions, as well as additional reporting requirements. It is essential that you familiarize yourself with these conditions, detailed below, since failing to comply with the rules could result in your being unable to use the funds for the purpose you have proposed.

Unique Administrative Conditions:

For new awards and revisions:

Carryover is not allowed between ARRA funding and non-ARRA funding. If prior segments have been funded with non-ARRA funds, no funds will be allowed to be carried over into the current award or any other future years and the prior segment must be closed out. If subsequent years are awarded with non-ARRA funding, this segment must be closed out and no carryover of funds will be allowed into the next segment.

Below is an illustration:

Grant Number	Document Number	Notes
5 R01 AI123456-04	RAI123456A	Funded with regular AI base appropriation funds in FY2008
2 R01 AI123456-05	RAI123456Z	Type 2 funded with ARRA in FY 2009
5 R01 AI123456-06	RAI123456Z	Type 5 funded with ARRA in FY 2010
2 R01 AI123456-07	RAI123456B	Type 2 funded with regular AI base appropriation funds in FY2011

- If funds remain from the -04 year, they **cannot** be carried forward into year -05 since -05 is funded with ARRA funds. Year -04 will be financially closed.
- Years -05 & -06 are both awarded with ARRA funding; thus the grantee may automatically carry forward -05 funds into the -06 year.
- However, if funds remain from the ARRA -06 year, they **cannot** be carried forward into year -07, since -07 is funded with regular IC base appropriation funds.
- **Since year -04 is financially closed, any balance that might have remained at the end of that year is no longer available. Therefore there is no carry over available from year -04 to -07.**

Because of these restrictions on the carryover of funds, grantees are encouraged to pay close attention their rates of spending and plan accordingly to ensure that all ARRA funds are spent in a timely manner

For administrative supplements and competing revisions:

ARRA budgets and project periods reflect only the supplemental funding. Separate accounts are required and rebudgeting or carryover of funds between the parent grant and any supplement is not allowed. Separate closeout documents are required at the end of the supplemental award including a final progress report and final invention statement. These are in addition to the reports required for the parent grant.

Reporting Requirements:

Reporting is required on a quarterly basis with the reports due 10 calendar days after each quarter.

We are working with School administrators to minimize the burden of these reporting requirements on the faculty but please understand that you will be responsible for providing a brief *description of the project* and the *completion status* for each quarter. **ORS will request this information prior to the end of each quarter.** It is important to note that enforcement actions that can be taken by the awarding Sponsor, due to failure to comply with the ARRA requirements, include special award conditions, closer monitoring of all awards, withholding of support, recovery of funds, suspension or termination. In addition projects that do not report appropriate progress may be terminated by the sponsor.

Please contact the Office of Research Services if you have any questions regarding your obligations associated with this award.