Penn CFAR Pilot Program
Cover Page and Instructions

DUE DATE: Friday, October 15, 2021

Email your application as a single pdf file to Brandi Evans (evansbk@chop.edu)

Application Requirements:

Please submit the following documents in the order listed below.

- Cover Page (attached), signed by PI's Departmental Chair and PI's Business Administrator
- PI/Co-PI(s) Agreement, signed by the PI and Co-PI
- Abstract (up to 300 Words)
- Biosketches (PI and Co-PI)
- Other Support (PI and Co-PI)
- Budget and Justification (budget form attached)
- Research Plan to describe proposed project (up to 5 pages)
  - Include a description of how this project will lead to future funded research
- Mentorship or Partnership Plan, if applicable (up to 1 page)
- Letters of Support (including from Mentor/Partner, if applicable)

Center Core Resources:
Please describe how the pilot will utilize CFAR Cores. In planning a study, you are encouraged to contact CFAR Core Directors early on to take advantage of the resources available through the CFAR Core services.
Information can be found on the CFAR website:
http://www.med.upenn.edu/cfar/

Applications for International Projects:
International applications based in Botswana should be discussed with Dr. Robert Gross to determine the feasibility of the study. Budgets must be reviewed and approved by Dr. Gross before submission to determine related expenses.
grossr@pennmedicine.upenn.edu

All applications that include planned research activities at other international sites should be discussed with Dr. Liz Lowenthal prior to preparation to determine the feasibility of the study and international expenses.
lowenthale@chop.edu
Do I have to be a Center for AIDS Research member to apply to the program?

No. However, pilot grant recipients will become CFAR members at the time of their award.

If I have an appointment with a community-based organization or the Philadelphia Department of Health, can I apply?

Please contact Kate Gordon at gordonk@pennmedicine.upenn.edu to discuss your specific situation.

If I don’t have an appointment at Penn, Wistar, or CHOP, can I apply?

Please contact Kate Gordon at gordonk@pennmedicine.upenn.edu to discuss your specific situation.

Are letters of support required?

For all Mentored Research Scholar Awards, a letter of support from the Mentor is required (in addition to the Mentorship Plan). No other letters of support are required. However, additional letters should be included when necessary to support the feasibility of the proposed project.

Who will review my proposal?

Preliminary review will be conducted by an administrative team to ensure that all proposal requirements are met. Qualifying proposals will be sent for scientific review by individuals who are qualified to assess the type of research being proposed. Members of the Penn CFAR are typically involved in CFAR pilot grant reviews. External (to Penn/CHOP/Wistar) reviews will be requested when needed based on the scientific content of the proposal and/or conflicts of interest.

How are funding decisions made?

Reviewers score grants using the 9-point scale utilized by the NIH to determine Overall Impact of Criterion Strength of a proposal. Reviewers who reviewed each grant present their preliminary grant scores and review comments at a review meeting. During discussion of the applications, all eligible reviewers (e.g. without conflicts of interest) assign a numerical impact score. Qualifying proposals with the highest scientific scores are funded after review by NIH to confirm that no disqualifying issues are present (e.g. use of fetal tissue; international studies in countries prohibited by NIH). Grants that did not receive the highest scientific scores may also be funded based on the availability of additional funds in that year and current CFAR priorities.

Proposals with unfundable scientific review scores will be offered feedback, mentorship and opportunities to work with CFAR investigators to improve their concept or proposal.

How will my research be evaluated with regard to the NIH funding priorities?

The reviewers will evaluate research based on the defined high priority areas for HIV research. For more information on the NIH HIV funding priorities, please see https://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-137.html
I am not a U.S. citizen. Am I eligible to apply for Center developmental funds?

Yes, non-U.S. citizens who meet the eligibility criteria may apply to the Center Pilot Program.

Is faculty salary support allowed?

No.

Can participating investigators at non-Penn CFAR institutions be involved?

Yes, we encourage cross-institutional collaboration. However, indirect costs associated with subcontracts to other institutions are not allowed, although other mechanisms such as invoicing can be arranged. For questions relating to this, contact Kate Gordon gordonk@pennmedicine.upenn.edu.

What are possible expenses related to an application with an international component?

The expenses might include but are not limited to: research personnel, such as a research nurse, lab staff; supplies including laboratory or clinical research supplies; services such as Core services or other invoiced services; other justified supplies or small equipment such as paper, computers; communication, such as cell phones, cell minutes, and other communication needs; transportation and shipping costs. International travel is an allowable expense, up to $5000, if scientifically justified.

Can Clinical Research or Clinical Trials be supported by a CFAR Developmental Pilot Grant?

The NIH has restrictions on the type of clinical studies that can be supported by CFAR Pilot Grants. Projects that fall within the NIH definition of "Clinical Trials" cannot be supported. The NIH definition of Clinical Trials can be found here: https://grants.nih.gov/ct-decision/index.htm

However, many types of human intervention studies can be modified to be allowable within NIH guidelines. If you are planning to propose a human intervention study of any sort (whether biomedical or behavioral), please contact Developmental Core Director Elizabeth Lowenthal (lowenthale@chop.edu), so we can help you design a study that can be supported by CFAR.

Can the strategy of the Pilot Grant include use fetal tissues?

No.
**Cover Page**

**Penn Center for AIDS Research**  
**FY 2022 Pilot Grant Program**

**Project Title:**

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<th>PI:</th>
<th>Co-PI:</th>
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<td>Signatures:</td>
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**PI RANK** (see eligibility listed on RFA):  
Institution (U of P, Wistar, CHOP, Other):  
Campus Address:  
Phone:  
Fax:  
Email:  

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<thead>
<tr>
<th>Co-PI RANK:</th>
</tr>
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</table>
| Institution (U of P, Wistar, CHOP, Other):  
Campus Address:  
Phone:  
Fax:  
Email:  

Signature of PI's department chair is required, certifying that the applicant has adequate time to dedicate to completion of this project.

Signature:  
**Department Chair**  
Print Name of Chair and Department

Signature of PI's Business Administrator is required, certifying that the budget has been reviewed.

Signature:  
**Bus Admin**  
Print Name of Bus Admin  
Email:

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Human Subjects Involved: □ Yes □ No  
International component: □ Yes □ No  
If Botswana-based, confirm that budget was approved by Dr. Robert Gross: □ Yes  
(proposals may be returned without review if budgets are not pre-approved)

Type of application: □ Mentored Research Scholar Award □ General Pilot Award

Is Mentorship or Partnership Plan attached? □ Yes □ No

If yes, is mentor or partner letter attached? □ Yes □ No
Penn Center for AIDS Research Pilot Grant Program
PI/co-PI(s) Agreement

Should your pilot proposal be funded, you agree to the following:

- Participate in a Pilot Charge Meeting, to be scheduled by the CFAR Development Core.
- Obtain all required regulatory approvals (including Human Subjects Training certifications, IRB approval and/or exemption notices, where appropriate) and submit these approvals to Kate Gordon as soon as possible. Please copy Brandi Evans (Evansbk@chop.edu) for inclusion in the annual progress report. *NOTE: No spending is allowed until all final protocols are received and NIH gives final approval.*
- Inform us of any changes in your other support that might affect this award.
- Participate in the CFAR Pilot Mentorship Program, if applicable.
- Provide summaries of your progress, including financial reporting, mentoring activities, collaborations that arose from the pilot project, and publications that are the result of the pilot project, as requested by CFAR. This information is required by the NIH and will be collected and compiled for CFAR annual progress reports and competitive renewal applications to be submitted to NIH. Annual report data related to each funded pilot award will be required for a minimum of 5 years after completion of the funding cycle.
- If requested, serve as a reviewer for future CFAR Developmental Pilot & Feasibility Program applications.
- Present your work at a CFAR Pilot Feedback Talk, if requested.
- Comply with the NIH Public Access Policy, which requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMed Central immediately upon acceptance for publication http://publicaccess.nih.gov.
- Comply with the revised NIH and Penn policies regarding Relatedness Assessment for the Penn CFAR, Institution #10017300, Award P30-AI0-45008, PI: Ronald Collman, Center for AIDS Research. A financial disclosure must be submitted even if you have no financial interests or travel to report.
- Acknowledge the Penn CFAR award (P30 AI 045008) in any publications, abstracts, grant proposals, and presentations that result directly or indirectly from this funding award. Refer to the CFAR website for more information.

Signature of both the PI and co-PI (if applicable) is required.

Signature:  
PI  
Print Name and Title  

Signature:  
Co-PI  
Print Name and Title  

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APPLICATION DUE: October 15, 2021
Email application as a pdf file to Brandi Evans at: Evansbk@chop.edu
Proposed Budget Page

Penn Center for AIDS Research
Pilot and Feasibility Grant Program

PI: ____________________________________________________
First                                                                 Last                                                                 Degrees

Proposed Budget:

A. Personnel

B. Permanent Equipment

C. Supplies

D. Miscellaneous

International component:

Amount Requested:  ____________________________________

Budget Justification