

HEADER:

Identity of the Trial and its ownership. The top section identifies the Sponsor, Protocol #, Protocol Title (if applicable)

Identifies the ownership of the Study. Division, Active Period, Principal Investigator, Responsible Coordinator

Institution # / Protocol Detail

Department of Medicine Division of (Protocol # / Study Nickname) Dr. _____		Prepared By: CRS 5/1/2023
January 2023 Financial Summary Fund #: 0		START: 1/0/1900 END: 1/0/1900
1009xxxx: Protocol Title		
PAYMENT METHOD: Subject Activity: Invoice Terms:	CT STATUS: ACTIVE Recruiting	1 - ENROLLED 0 - Completed 0 - Withdrawn 0 - Failed

This the current End Date of the Fund according to the University's system. This is monitored and adjusted with the activity of the trial.

Explains the method and intervals of payment for activity. (ie: eCRF on a Quarterly Basis ; by Invoice)

Provides the Status of the trial (ie: Active, Closed, etc) and the enrollment statistics.

SECTION A: STATEMENT OF PAYMENTS EARNED:

This section explains, in summary, the total award the trial has earned through activity from the sponsor according to the CTA

Statement of Payments Earned	
Study Start-up (Non-Refundable)	19,225
IDS- Pharmacy Start-up (Non-Refundable)	7,450
IDS- Pass Through Costs (Maintenance / Close)	3,450
IRB- Pass Through Costs	6,400
Payments per Tracking Log (85%)	75,612
Payments per Tracking Log (15%)	13,343
Invoiceable Items (See Attached)	12,139
Study Closeout	2,500
	140,120 Payments Earned
	105,556 Payments Received
	34,564 Outstanding Cash

Pass-through costs: Invoiceable items that have direct off-setting expenses accrued over a point of time.

Payments earned for the startup activity of the trial. As stated in the CTA.

A sum of any/all invoices sent to the sponsor for additional items as outlined in the CTA budget.

Subject Activity: Milestone driven revenue for services provided as outlined in the protocol / budget. Some CTA's detail a certain percentage to be withheld on all activity until the close of the trial. This report is reflecting a 85/15 payment system.

Payments Earned:
Sum of all earned activity in the section above.

Payments Received:
Sum of all payments physically received from the Sponsor/CRO. Includes all Invoices recorded with the University AR. Payments are received via check or wire transfer

Outstanding Cash:
Receiveable of funds earned and not yet reimbursed based off of actual activity.

SECTION B: EXPENSE REPORT

This section is a restatement of the University generated financial reports issued at the beginning of each new period.

That report should be provided to you by your Grants Manager or Business Administrator.

In addition to this restatement of expenses, this report also includes known milestone expenses that the University does not account for. (ie: Subject Remunerations, Hospital / Service Center Costs not yet billed, etc)

Budget Balance to Date:
This number comes from the physical payments received from the sponsor plus the invoices recorded with the University AR. This number matches the Payments Received in Section A. This number should also match the Total Operating Budget in the University issued report (see below)

Expense Report				
	Budget Balance to Date	Paid to Date	Accrued Expenses	Total Project Expense Estimate
Revenue	105,556			
Faculty Salary (thru)		-	-	-
Coordinator Salary (thru)		33,706	-	33,706
PT Coordinator Salary & EB's		-	-	-
EB's		10,988	-	10,988
TRAVEL		286	-	286
Human Subject Payments		2,995	1,505	4,500
HUP Patient Care (Tech Fees)		1,552	3,662	5,214
CPUP Patient Care (Prof Fees)		562	3,613	4,175
CACTIS / CAMRIS / CTCR		1,933	-	1,933
IDS - Pharmacy Startup		3,153	-	3,153
IDS - Pharmacy Annual Charges (thru)		889	-	889
IDS - Pharmacy Monthly Dispensing		1,983	-	1,983
IDS - Pharmacy Closeout		390	-	390
Admin Fees - Pulmonary		-	-	-
CTU - Regulatory Services (thru Mar'23)		-	-	-
CRS - Accounting Services (thru Mar'23)		8,605	-	8,605
IRB FEES NO F&A		3,400	3,000	6,400
G&C OH (35%)		23,464	3,073	26,538
Totals		93,906	14,853	108,759
Calculated BBA		11,650		

Obj	Object Desc	Budget	Month	Fiscal Year	PJTD	Enc	Grand Total	BBA
5010	STANDING FAC	2,400.00	0.00	0.00	0.00	0.00	0.00	2,400.00
5100	SAL PROF STAFF	33,641.95	2,511.48	22,603.32	33,705.64	0.00	33,705.64	-63.69
5190	EB FULL TIME	10,992.18	766.00	6,894.01	10,280.22	0.00	10,280.22	711.96
5196	EB DEPENDENT TUITION	756.24	52.74	474.67	707.82	0.00	707.82	48.42
5206	NON EMP DOM TRVL	2,685.00	0.00	285.79	285.79	0.00	285.79	2,399.21
5316	HUMAN SUBJ PYMTS DI	4,000.06	0.00	1,255.00	2,995.00	0.00	2,995.00	1,005.06
5334	HUP PATNT CARE	2,500.00	0.00	869.61	2,114.04	0.00	2,114.04	385.96
5340	INT OTH SVCS	19,437.04	270.00	2,730.22	16,953.25	0.00	16,953.25	2,483.79
5348	IRB FEES-NO F&A	2,400.00	0.00	1,000.00	3,400.00	0.00	3,400.00	-1,000.00
	Direct Costs	78,812.47	3,600.22	36,112.62	70,441.76	0.00	70,441.76	8,370.71
	F&A	26,743.26	1,260.08	12,289.45	23,464.64	0.00	23,464.64	3,278.62
	Total	105,555.73	4,860.30	48,402.07	93,906.40	0.00	93,906.40	11,649.33

Expense Report				
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Faculty Salary (thru)		-	-	-
Coordinator Salary (thru)		33,706	-	33,706
PT Coordinator Salary & EB's		-	-	-
EB's		10,988	-	10,988
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Human Subject Payments		2,995	1,505	4,500
HUP Patient Care (Tech Fees)		1,552	3,662	5,214
CPUP Patient Care (Prof Fees)		562	3,613	4,175
CACTIS / CAMRIS / CTRC		1,933	-	1,933
IDS - Pharmacy Startup		3,153	-	3,153
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CTU - Regulatory Services (thru Mar'23)		-	-	-
CRS - Accounting Services (thru Mar'23)		8,605	-	8,605
IRB FEES NO F&A		3,400	3,000	6,400
G&C OH (35%)		23,464	3,073	26,538
Totals		93,906	14,853	108,759
Calculated BBA		11,650		

Paid to Date:
This column details what expenses have hit the trial. These numbers reflect all actual expenses plus known encumbrances (ie: Salary, EB's, open PO's)

Expense Totals:
This is the sum of all lines above.
Calculated BBA:
(Budget Balance Available)
Cash Received less actual expenses.

Both of these numbers will match the columns on the University Reports

Obj	Object Desc	Budget	Month	Fiscal Year	PJTD	Enc	Grand Total	BBA
5010	STANDING FAC	2,400.00	0.00	0.00	0.00	0.00	0.00	2,400.00
5100	SAL PROF STAFF	33,641.95	2,511.48	22,603.32	33,705.64	0.00	33,705.64	-63.69
5190	EB FULL TIME	10,992.18	766.00	6,894.01	10,280.22	0.00	10,280.22	711.96
5196	EB DEPENDENT TUITION	756.24	52.74	474.67	707.82	0.00	707.82	48.42
5206	NON EMP DOM TRVL	2,685.00	0.00	285.79	285.79	0.00	285.79	2,399.21
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5334	HUP PATNT CARE	2,500.00	0.00	869.61	2,114.04	0.00	2,114.04	385.96
5340	INT OTH SVCS	19,437.04	270.00	2,730.22	16,953.25	0.00	16,953.25	2,483.79
5348	IRB FEES-NO F&A	2,400.00	0.00	1,000.00	3,400.00	0.00	3,400.00	-1,000.00
	Direct Costs	78,812.47	3,600.22	36,112.62	70,441.76	0.00	70,441.76	8,370.71
	F&A	26,743.26	1,260.08	12,289.45	23,464.64	0.00	23,464.64	3,278.62
	Total	105,555.73	4,860.30	48,402.07	93,906.40	0.00	93,906.40	11,649.33

Matches the Balance Balance to Date

Matches the Expense Totals

Matches the BBA (Budget Balance Available)

Accrued Expenses.
 This is the added column that details future known expenditures that are milestone driven but are not able to be encumbered. (ie: Subject Remuneration, Patient Care, Service Center, Pharmacy, etc.)

Expense Report				
	Budget Balance to Date	Paid to Date	Accrued Expenses	Total Project Expense Estimate
Revenue	105,556			
Faculty Salary (thru)		-	-	-
Coordinator Salary (thru)		33,706	-	33,706
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IDS - Pharmacy Closeout		390	-	390
Admin Fees - Pulmonary		-	-	-
CTU - Regulatory Services (thru Mar'23)		-	-	-
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IRB FEES NO F&A		3,400	3,000	6,400
G&C OH (35%)		23,464	3,073	26,538
Totals		93,906	14,853	108,759
Calculated BBA		11,650		

SECTION C: FINANCIAL SUMMARY / CLOSEOUT

This section is a summary of the numbers reflected above and transferred to the Financial Summary Report
 This also shows the amount of the residual/deficit amount at the time of close with the Overhead costs removed

Fund Summary Pre-Close Out		
Budget Balance Available	11,650	←
Outstanding Cash	34,564	←
Outstanding Expenses	(14,853)	←
Cash Available Before Closeout	31,361	
Fund Summary Post Close Out		
CRC Pool	-	
PI SOM	-	
Accounting Overhead	-	
Division Overhead (8%)	1,858	←
SOM Overhead (35%)	8,131	←
Estimated Cash Position Post-Closeout	21,372	

Summary Balances transferred to the Financial Summary Report

Division Tax on Residual Balances.

Remaining Overhead from the Total Award in the Residual.

Residual (Direct Cost) Balance left from Total Award

ALD FINANCIAL SUMMARY											
Sponsor	Study Nickname	P.Investigator	FUND	BBA	Outstanding Cash	Estimated Outstanding Expense	Projected Balance Post Close	Estimated Closeout Costs	Estimated Cash Position	Residual (%)	End Date
██████	██████	xxx	xxx	11,650	34,564	(14,853)	31,361	(9,989)	21,372	22.40%	xxx
Totals				11,650	34,564	(14,853)	31,361	(9,989)	21,372		
ALD TRIAL SPECIFICS											
Sponsor	Study Nickname	P.Investigator	FUND	STATUS	Subjects Enrolled	Subjects Active	Projected Last Visit	PI Encumbered Date	Staff Encumbered Date	NOTES:	
██████	██████	xxx	xxx	Active	1	1	5/9/2023	-	-		
CLOSED STUDIES											
Sponsor	Study Nickname	P.Investigator	FUND	STATUS	Subjects Enrolled	Disabled Date	Total Award	Total Residual	Residual (\$) to PI SOM	Notes	
PENDING:											
<NONE>											
PI Signature: _____											
Dr. xxx											

SUBJECT TRACKING

Study Coordinators provide this information via PENN+BOX on a monthly basis; by the 3rd business day of the month.
 Visits that are shaded in a color reflect each payment as received by the sponsor
 Visits in shown un-shaded are not yet paid. Those shown in red as depicted below reflect those that are projected

Fund Subject ID's required in this space

Department of Medicine															5/1/2023	
Division of																
Dr.																
Fund #: 5																
CRC																
	Visit 1	Visit 2	Visit 3	Visit 4	Visit 5	Visit 6	Visit 7	Visit 8	Visit 9	Visit 10	Visit 11	Visit 12	Visit 13	Total		
	Day -28	Day 0	Day 1	Day 2	Day 7	Day 8	Day 9	Day 14	Day 15	Day 16	Day 21	Day 28	Day 42			
Subject #	2,070	7,989	317	1,203	5,898	317	1,203	6,271	1,602	1,968	1,997	2,303	3,451	\$ 36,529.65	ACTIVE?	
A101-001	3/18/2022	4/11/2022	4/12/2022										5/19/2022	13,826.70	No	
A101-002	4/12/2022	5/10/2022	5/11/2022	5/12/2022	5/17/2022	5/18/2022	5/20/2022	5/24/2022	5/25/2022	5/27/2022	5/31/2022	6/7/2022	6/21/2022	36,529.65	No	
A101-003	10/21/2022	11/1/2022	11/2/2022	11/3/2022	11/8/2022	11/9/2022	11/10/2022	11/15/2022	11/16/2022	11/17/2022	11/21/2022	11/30/2022	12/14/2022	36,529.65	No	
A101-004	2/28/2023	3/28/2023	3/29/2023	3/30/2023	4/4/2023	4/5/2023	4/6/2023	4/11/2023	4/12/2023	4/13/2023	4/18/2023	4/25/2023	5/9/2023	36,529.65	YES	
A101-005														-		
														-		
														-		
														-		
														-		
														-		
														-		
Totals	8,278.20	31,957.20	1,269.00	3,608.55	17,694.45	951.75	3,608.55	18,812.25	4,807.35	5,904.90	5,811.75	6,909.30	13,802.40	123,415.65		

CONDITIONAL ITEMS (INVOICEABLES):

Study Coordinators provide this information via PENN+BOX on a monthly basis; by the 3rd business day of the month.
 Dates that are shaded gray reflect items invoiced and paid by the sponsor
 Dates that are highlighted yellow reflect items invoiced to the sponsor and still outstanding

INVOICEABLES	SAE's	IND Report Management	Audit Fee	Pregnancy Test Serum	Pregnancy Test Urine	Unscheduled Treatment of Albuterol	Albuterol, 2.5 mg	Visual Acuity, repeat if necessary	Optometrist, if 2 line worsening	Ophthalmology	Hourly Nurse Fee	Auditory Acuity	Remot Monitoring Fee	Con-meds, if not performed within 72 hours of Day 0	Auditory Exam	Ophthalmology Exam
Subject #	\$350.00	\$60.00	\$1,000.00	\$60.75	\$40.50	\$1,240.65		\$67.50	\$486.00	\$486.00	\$283.50	\$303.75	\$337.50	\$87.75	\$303.75	\$438.75
A101-001	4/15/2022			4/11/2022						3/22/2022		3/18/2022			3/18/2022	3/22/2022
A101-002				5/9/2022						4/12/2022		4/12/2022			4/12/2022	4/12/2022
A101-003																
A101-004																
A101-005																
A101-001										5/19/2022		5/19/2022			5/19/2022	5/19/2022
A101-002										2/28/2023		6/21/2022			6/21/2022	6/21/2022
A101-003												10/21/2022			10/21/2022	10/21/2022
A101-003												12/14/2022			12/14/2022	12/14/2022
A101-004												2/28/2023			2/28/2023	2/28/2023
Total	\$ 350.00	\$ -	\$ -	\$ 121.50	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,944.00	\$ -	\$ 2,126.25	\$ -	\$ -	\$ 2,126.25	\$ 3,071.25

IND Reports		Regulatory -						
Report #	Date	Initial Review	Protocol Renewal	Protocol Amendment	ICF Revision	Miscellaneous		Closeout
		10/18/2021	5/19/2022	11/11/2021	11/11/2021	Add personnel	11/16/2021	
				2/7/2022	2/7/2022	noncompliance	10/7/2022	
				1/13/2023	5/20/2022	recruiting mater	10/19/2022	
					11/18/2022	DMC Letter	2/1/2023	
					1/13/2023	IB Memo	2/15/2023	
Total	\$ -	\$ 2,400.00	\$ 1,000.00	\$ 1,500.00	\$ 1,500.00			\$ 6,400.00

Expense Report

Expenses are allocated by their origin to show total costs by service center or cost type

Expense Report														30.5%			
														2.1%			
		PITD TOTAL	FY22 TOTAL	Jul-22	Aug-22	Sep-22	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	ADJ-23	FY23 TOTAL
Revenue																	
5010	Faculty Salary (thru)	-	-														-
5100	Coordinator Salary -	11,102.32	11,102.32														-
5100	Coordinator Salary -	22,603.32	-		5,022.96	2,511.48	2,511.48	2,511.48	2,511.48		5,022.96	2,511.48					22,603.32
5135	PT Coordinator Salary	-	-														-
5191	PT Coordinator EBs	-	-														-
5190	EB's	10,280.22	3,386.21		1,532.00	766.00	766.00	766.00	766.00	-	1,532.00	766.00					6,894.01
5196	EB's (Dependent Tuition)	707.82	233.15		105.48	52.74	52.74	52.74	52.74	-	105.48	52.74					474.67
5206	TRAVEL	285.79	-		53.56				208.96	23.27							285.79
5220	EXT Office Supplies	-	-														-
5270	Express Mail	-	-														-
5316	Human Subject Payments	2,995.00	1,740.00					100.00	1,055.00	100.00							1,255.00
5334	HUP Patient Care (Tech Fees)	1,552.07	885.18	193.39				14.60	297.59	161.31							666.89
5334	CPUP Patient Care (Prof Fees)	561.97	359.25	14.60				173.52		14.60							202.72
5340	CACTIS / CAMRIS / CHPS / CFAR	1,932.50	1,432.50						500.00								500.00
5340	IDS - Pharmacy Startup - Delivery	3,153.00	3,153.00														-
5340	IDS - Pharmacy Annual Charges (thru)	889.00	889.00														-
5340	IDS - Pharmacy Monthly Dispensing	1,983.34	428.12						449.48	1,105.74							1,555.22
5340	IDS - Pharmacy Closeout	390.00	390.00														-
5340	Admin Fees - Pulmonary	-	-														-
5340	CTU - Regulatory Services (thru)	-	-														-
5340	CRS - Accounting Services (thru)	8,605.00	7,930.00			135.00			270.00			270.00					675.00
5348	IRB FEES	3,400.00	2,400.00								1,000.00						1,000.00
5282	G&C OH (35%)	23,464.47	11,175.05	72.80	2,349.90	1,212.83	1,165.58	1,266.42	2,138.94	104.71	2,718.16	1,260.08					12,289.42
Totals		93,905.82	45,503.78	280.79	9,063.91	4,678.05	4,495.80	4,884.76	8,250.19	403.89	11,484.35	4,860.30					48,402.04

FUND#		P.I.		Detail Report										MAR-23
Org	Obj	Prog	CREF	Acct Per	Trans Date	Vendor/Journal Desc	P.O./ User/Inv#	Journal Batch	Amount			Amount		
4257	5100	2816		MAR-23	3/31/23				2,511.48			2,511.48		
5100										SAL PROF STAFF		2,511.48		
4257	5190	2816		MAR-23					766.00			766.00		
5190										EB FULL TIME		766.00		
4257	5196	2816		MAR-23	3/31/23	FT DEP TUIT CHG (NON-GOV)	GLADMIN	MA: 66967984 EB ALLOCATIONS	52.74			52.74		
5196										EB DEPENDENT TUITIO		52.74		
4257	5282	2816		MAR-23	3/31/23	Journal Import Created	BINSTEAJ	Projects A 1597037 66968362	879.02			879.02		
4257	5282	2816		MAR-23	4/1/23	Journal Import Created	GMSADMIN	Projects A 1598025 66970669	381.06			381.06		
5282										G&C OH CHG		1,260.08		
4257	5340	2816		MAR-23	3/31/23	CRS_Service	MARINOT	MJE: 4255.TJM.03/31/2023.07 31	270.00			270.00		
5340										INT OTH SVCS		270.00		
												4,860.30		

Totals match to reconcile