### Candidacy Exam Workshop

Joshua I. Gold December 18, 2012

### Outline

- Timeline
- Getting started
- Written proposal
- Tips
- The Candidacy Exam
- Submitting your document as an NRSA
- Thesis Committee

### Timeline

- Due **February 1, 2013** to ARC (by e-mail to Josh Gold and Minghong Ma)
  - Proposal letter, including:
    - Tentative thesis title and advisor's name
    - Specific Aims page (limit one single-spaced page)
    - List of potential Candidacy Exam Committee (CEC) Chairs (2 names, and why)
    - List of potential CEC members and why (5–7 names in order of preference)
- Feedback from at least one ARC member by February 15, 2013

#### • Due March I, 2013

- Contact CEC, agree to serve
- Schedule CEC meeting day, time and place
- Due 3 weeks before your Exam
  - Final written document, all parts (not form pages)
- **IO days** after receiving document
  - CEC Chair and members provide feedback (in person and/or written)
- 7 days to revise document if necessary
- 4 days before Exam
  - Student must submit final, revised version to CEC Chair only
- Due June 14, 2013
  - All Candidacy Exams must be complete unless you have Josh's permission to delay

### Getting started

- You will be preparing an application for a **Ruth L. Kirschstein National Research Service Award (NRSA)**
- Find the instructions: "Individual Fellowship Application Guide SF424."
- Read the instructions
- Follow the instructions
  - Page limit
  - Font size
  - Margins
- Ask 3<sup>rd</sup> year students for examples
- Set a time line for yourself

SF424 (R&R) Individual Fellowship Application Guide for NIH and AHRQ

A guide developed and maintained by NIH for preparing and submitting individual fellowship applications via Grants.gov to NIH and AHRQ using the SF424 (R&R

Adobe Forms Version B Series (to be used with FOAs specifying use of Adobe-Forms-B and B-1 application packages)

Updated July 25, 2011

http://grants.nih.gov/grants/funding/424/index.htm#inst

# Getting started

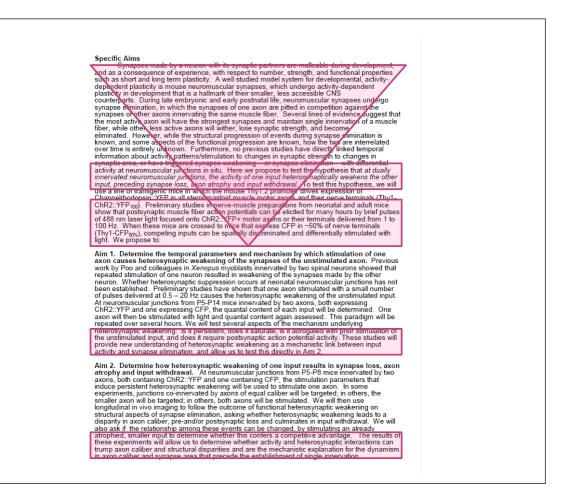
- Focus on a hypothesis that is central to your field
- Generate experiments to test that hypothesis
- Write these experiments in the form of Specific Aims
- Generate preliminary data to demonstrate the feasibility of the technical approaches
- Use preliminary data to demonstrate the likelihood of interesting outcomes that are interpretable and that advance the field

## Written Proposal

- Cover page
- Project Summary/Abstract (I paragraph)
- Specific Aims (1 page)
- Research Strategy (6 pages)
  - Significance
  - Approach (methods, preliminary data, timeline)
- Bibliography & References Cited

# **Tips: Specific Aims**

- I page
- Inverted pyramid format
- Background paragraph that concludes with hypothesis (bolded, italics)
- 2 or 3 Aims, in paragraph form,
  - State goal
  - How you will test goal
  - Anticipated results
  - What results will mean for goal/hypothesis



# **Tips: Specific Aims**

- Generate a draft in consultation with your PI
- Anticipate generating many drafts
- Hardest part of grant to write
- Most important part of grant to read and for others to understand
- Must capture the Reviewer's interest if not, you won't be successful in the peer review process

# **Tips: Significance**

• Explain the importance of the problem or critical barrier to progress in the field that the proposed project addresses.

• Explain how the proposed project will improve scientific knowledge, technical capability, and/or clinical practice in one or more broad fields.

• Describe how the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field will be changed if the proposed aims are achieved.

# Tips: Approach

• Describe the overall strategy, methodology, and analyses to be used to accomplish the specific aims of the project. Include how the data will be collected, analyzed, and interpreted as well as any resource sharing plans as appropriate.

• Discuss potential problems, alternative strategies, and benchmarks for success anticipated to achieve the aims.

• If the project is in the early stages of development, describe any strategy to establish feasibility, and address the management of any high risk aspects of the proposed work.

• Point out any procedures, situations, or materials that may be hazardous to personnel and precautions to be exercised. A full discussion on the use of select agents should appear in Item 15, below.

• Include any courses that you plan to take to support the research training experience.

### **Tips:** General

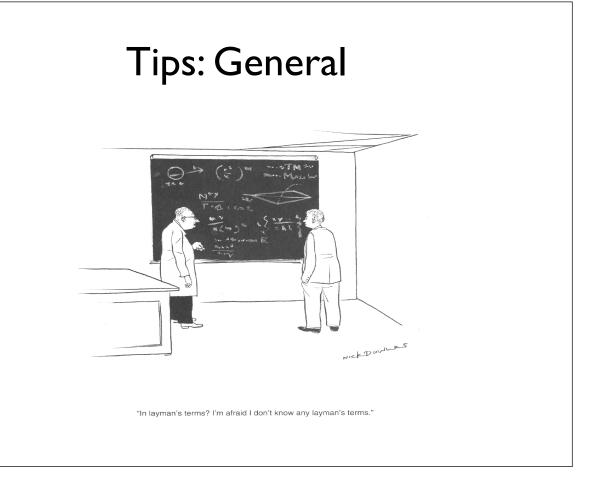
If an applicant has multiple Specific Aims, then the applicant may address Significance, Innovation and Approach for each Specific Aim individually, or may address Significance, Innovation and Approach for all of the Specific Aims collectively.

## **Tips: General**

#### **Preliminary Studies for New Applications.**

For new applications, include information on preliminary studies, if any. Discuss the applicant's preliminary studies, data and/or experience pertinent to this application.

When applicable, provide a succinct account of published and unpublished results, indicating progress toward their achievement.



### Tips: General

#### • Consult the NGG Handbook

- Focus on clarity
- Use resources your advisor, faculty, labmates, classmates for editing, reading, etc.
- Discuss NGG rules for Candidacy Exam with your Thesis Advisor
- Write, put aside, edit. Repeat. This takes time -- don't wait until the last minute
- Read document aloud to catch awkward writing, grammatical errors, etc.
- Spell check and format check

# The Candidacy Exam

- Schedule 2.5 hours exams typically take 2 hours plus some administrative time
- Bring your academic folder from Jane Hoshi and a printed copy of the Candidacy Exam Report form
- Prepare a ~30 minute research talk that is an overview of your Research Plan
- You step out of room for first ~10 minutes while Committee discusses your academic portfolio and Chair reports on document revisions, if needed
- Your Thesis Advisor is in room but may not participate in any aspect of the Exam, including asking or answering questions
- Anticipate questions throughout your talk
- Types of questions you can expect
  - Conceptual
  - Methodological
  - Interpretation
  - Significance
  - Breadth (typically at end, but not always)
- Student then steps out of room while Committee discusses evaluation

### Outcomes

#### • Pass

#### Conditional Pass

- Document revisions necessary
- Remediation necessary (coursework, reexamination, etc.)
- Fail

### Submitting your document as an NRSA

- All eligible NGG students are required to submit a version of their proposal as an NIH NRSA or as a grant to another agency, within ~6–9 months of Exam.
  - NIH deadlines are August, December and April but these change, so check
- Talk to Josh if there's some issue with this
- Grant submission is done through PennERA contact BGS office for information. You must follow Penn deadlines for grant submission, typically at least 7 business days prior to NIH or other grant agency deadline
- NGG website → Resources → Wiki page with useful information, from Matt Nassar, Dan Denman and others. Contact Jane Hoshi for login and password. Note that some information may be out of date.

### Submitting your document as an NRSA

#### Form Pages (check this for latest requirements):

- Cover Letter (including List of Referees)
- Biosketch
- Undergraduate and Graduate coursework, grades
- Previous Research Experience
- Goals
- Planned Activities
- Facilities and Other Resources
- Equipment
- Selection of Institution and Sponsor
- Respective Contributions
- Other Attachments
  - List of Referees (at least 3)
  - Sponsor's information (limit 6 pages)
- Letters of Recommendation

### Thesis Committee

- Thesis Committee Chair and members must be approved by ARC Chair and NGG Chair (email)
- Thesis Committee must be formed by the end of Fall Semester, 2013
- First TC meeting must be held by the end of Spring Semester, 2013
- Meetings involve:
  - Preparing a Specific Aims page plus progress on each Aim (bullets): send to Committee no later than 3 days before meeting.
  - Preparing a 30 40 minute talk of background, Aims, data
- Your thesis proposal will evolve that's expected
- Your first TC meeting will be the most comprehensive (2–3 hours)
- Subsequent TC meetings can be more focused (1–2 hours)
- TC meetings should be frequent: I-2x / year