

## 1           **Restoration of locus coeruleus noradrenergic transmission during sleep**

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10  
11           **Abstract:** Sleep is indispensable for health and wellbeing, but its basic function remains elusive.  
12           The locus coeruleus (LC) powerfully promotes arousal by releasing noradrenaline. We found  
13           that noradrenaline transmission is reduced by prolonged wakefulness and restored during sleep.  
14           Fiber-photometry imaging of noradrenaline using its biosensor showed that its release evoked by  
15           optogenetic LC neuron activation was strongly attenuated by three hours of sleep deprivation and  
16           restored during subsequent sleep. This is accompanied by the reduction and recovery of the  
17           wake-promoting effect of the LC neurons. The reduction of both LC evoked noradrenaline  
18           release and wake-inducing potency is activity dependent, and the rate of noradrenaline  
19           transmission recovery depends on mammalian target of rapamycin (mTOR) signaling. The  
20           decline and recovery of noradrenaline transmission also occur in spontaneous sleep-wake cycles  
21           on a timescale of minutes. Together, these results reveal an essential role of sleep in restoring  
22           transmission of a key arousal-promoting neuromodulator.  
23

24 **Main:**

25 Sleep is an essential innate behavior indispensable for maintaining optimal brain function  
26 <sup>1</sup>. Acute sleep deprivation can lead to reduced alertness and impairment of a wide array of  
27 cognitive functions, including attention, learning, and memory consolidation <sup>2</sup>, which can be  
28 reversed by recovery sleep. However, the neural mechanisms underlying the restorative effects  
29 of sleep remain elusive.

30 Neurons in the locus coeruleus (LC), part of the ascending arousal system <sup>3</sup>, play a  
31 powerful role in promoting wakefulness and arousal <sup>4–10</sup> and regulating cognition <sup>11</sup>. LC neurons  
32 release noradrenaline (also called norepinephrine, NE) through their brain-wide projections <sup>12</sup>.  
33 NE signaling is essential to the wake-promoting effect of LC neurons, as CRISPR-based  
34 knockdown of dopamine beta-hydroxylase (DBH), which catalyzes the synthesis of NE from  
35 dopamine, reduced their wake-promoting effect <sup>13</sup>.

36 LC neurons exhibit substantially higher activity during wakefulness than rapid eye  
37 movement (REM) and non-REM (NREM) sleep <sup>14–16</sup>. Previous studies suggest that prolonged  
38 LC activation or sleep deprivation can deplete NE <sup>4,17,18</sup>, which could lead to impaired arousal  
39 and cognitive functions. However, the magnitude, time course, and mechanism of the reduction  
40 and restoration of NE transmission remain unclear. In this study, by measuring NE release using  
41 its biosensor, we characterized the effects of sleep and wakefulness, LC neuron activity, and  
42 mTOR signaling on the efficacy of LC-NE transmission.

43

44 **LC-NE transmission is diminished by sleep deprivation and restored in sleep**

45 We injected adeno-associated virus (AAV) with Cre-dependent expression of ChrimsonR  
46 (hSyn-FLEX-ChrimsonR-tdTomato) <sup>19</sup> into the LC and AAV expressing a genetically encoded  
47 NE sensor (GRAB<sub>NE3.1</sub>) <sup>20</sup> into both the LC and medial prefrontal cortex (mPFC) of *DBH-Cre*  
48 mice (Fig. 1a,b). Laser stimulation in the LC (635 nm, 4 mW, 50 ms/pulse; inter-pulse interval:  
49 40 – 80 s) evoked robust NE release in both the LC and mPFC, as shown by the transient  
50 increases in GRAB<sub>NE3.1</sub> fluorescence measured by fiber-photometry; the mutant NE sensor  
51 showed no laser-evoked response (Extended Data Fig. 1a).

52 After 1 h of baseline measurement (ZT 0-1), we subjected the mouse to 3 h of sleep  
53 deprivation (SD), followed by 2 h of recovery sleep (Extended Data Fig. 1b). Laser-evoked NE  
54 release was measured continuously throughout each 6 h recording session. Compared to control  
55 sessions ('ctrl', Fig. 1c), laser-evoked NE release in the LC was markedly reduced by 3 h of SD  
56 (Fig. 1d). Immediately after SD, the amplitude of NE release was only ~60% of the control.  
57 However, evoked NE release recovered gradually over ~30 min of recovery sleep (Fig. 1e, n =  
58 13). Similar SD-induced reduction and subsequent recovery of evoked NE release were also  
59 observed in the mPFC (Fig. 1f, n = 12).

60 We next analyzed the behavioral consequence of the change in NE transmission. The  
61 single pulse of optogenetic LC stimulation used for measuring NE release (50 ms) also induced a  
62 transient increase in wakefulness as measured by electroencephalogram (EEG) and  
63 electromyography (EMG) (Extended Data Fig. 1c,d). Laser-induced wakefulness, measured by  
64 the difference in the probability of wakefulness within 20 s before and after the laser pulse  
65 (Extended Data Fig. 1e), was significantly lower than the control immediately after 3 h of SD  
66 (Fig. 1g), but it recovered gradually during recovery sleep. Thus, SD-induced reduction of NE  
67 transmission is associated with an impaired ability of LC neurons to promote wakefulness.

68

## 69 **NE transmission is weakened by prolonged LC activation**

70 Since LC neurons are more active during wakefulness than NREM or REM sleep<sup>14-16</sup>,  
71 the SD-induced reduction of NE transmission could result from prolonged LC activation. To test  
72 this possibility, we replaced the SD with optogenetic activation of LC neurons at 0.2 Hz (50  
73 ms/pulse) (Fig. 2a). Immediately after 3 h of LC activation, the amplitude of NE release was  
74 reduced to ~60% of the control, but it recovered over the next ~30 min (Fig. 2b,c). The wake-  
75 promoting effect of LC neurons also showed corresponding reduction and recovery (Fig. 2d).  
76 Thus, prolonged LC activation also diminishes NE transmission and the wake-inducing potency  
77 of LC neurons, similar to the effects of SD.

78 In addition to activating the LC neurons near the optic fiber, optogenetic stimulation may  
79 also affect many other neurons, astrocytes, and microglia through the released NE, which could  
80 indirectly affect LC-NE transmission. To control for the effect of global changes in the brain, we  
81 compared NE release from the LC neurons subjected to 3 h of activation to that from the  
82 contralateral LC, which was much less activated (Fig. 2e, and Extended Data Fig. 2a). Laser-  
83 evoked NE release at the activated side was significantly reduced compared to the contralateral  
84 side immediately after the 3 h activation, but it recovered over the next ~30 min (Fig. 2f,g). This  
85 further supports a direct role of prolonged LC activation in inducing the decline in NE  
86 transmission.

87

## 88 **Genetic inactivation of mTOR signaling slows down recovery of LC-NE transmission**

89 What mechanism underlies sleep-dependent recovery of LC-NE transmission? In  
90 addition to reduced release that may help conserve intracellular NE stores, sleep is also known to  
91 facilitate cellular repair and rejuvenation by promoting mTOR activity and protein synthesis<sup>21-23</sup>.  
92 To test the role of mTOR signaling in sleep-dependent restoration of LC-NE transmission, we  
93 deleted *Rptor* (encoding an obligatory component of mTOR complex 1) specifically in LC-NE  
94 neurons by crossing *DBH-Cre* mice with floxed *Rptor* mice<sup>24</sup>. Compared to wild type (WT)  
95 mice, *Rptor*<sup>-/-</sup> mice showed lower levels of tyrosine hydroxylase (TH) protein, the rate-limiting  
96 enzyme for NE synthesis (Fig. 3a,b and Extended Data Fig. 3a,b), similar to the effect of *Rptor*  
97 knockout in midbrain dopaminergic neurons<sup>25</sup>.

98 We then repeated the 3 h SD or optogenetic activation experiment in *Rptor*<sup>-/-</sup> mice  
99 (Extended Data Fig. 4). Compared to WT control, *Rptor*<sup>-/-</sup> mice showed much slower recovery  
100 of NE transmission following 3 h of either SD or optogenetic activation, with the time constant  
101 of recovery increasing from 10-20 min to 1-2 h (Fig. 3c-h). Thus, the rate of sleep-dependent  
102 recovery of NE transmission depends strongly on mTOR signaling in LC neurons.

103

## 104 **Fatigue and recovery of LC-NE transmission in natural sleep-wake cycles**

105 Although the function of sleep is often probed experimentally by several hours of sleep  
106 deprivation, in mice the duration of each bout of spontaneous wakefulness is only a few minutes  
107 on average<sup>26</sup>. We asked whether the wake-associated decline and sleep-dependent recovery of  
108 NE transmission also operate on the timescale of minutes. Analysis of evoked NE release across  
109 spontaneous sleep-wake cycles showed that the release in the LC decreased over several minutes  
110 from wake bout onset (Fig. 4a,b) and recovered after mice transitioned into NREM sleep (Fig. 4a,

111 and c left panel). We then divided all the wake bouts into short and long groups. We found that  
112 following long wake bouts ( $> 55$  s, median duration of wake bouts), NE transmission was  
113 reduced to a lower level, and the recovery to baseline was significantly slower than following  
114 short bouts ( $\leq 55$  s; Fig. 4c right panel). Thus, fatigue and recovery of NE transmission also  
115 operate in natural sleep-wake cycles on the order of minutes, and the time course of recovery  
116 scales with the duration of prior wakefulness.

117 The average LC neuron firing rate during quiet wakefulness is  $\sim 1$  spike/s<sup>14,27</sup>. We next  
118 applied 1 Hz activation of LC-NE neurons for either 30 or 120 s, comparable to the durations of  
119 short and long bouts of spontaneous wakefulness, respectively. Compared to 30 s, 120 s  
120 activation caused a stronger reduction of NE transmission and slower recovery (Fig. 4d,e). LC-  
121 NE fatigue can also be induced by 120 s of 0.2 Hz activation, but the recovery was faster than  
122 that following 1 Hz stimulation (Extended Data Fig. 5).

## 123 124 Discussion

125 Sleep is known to provide multiple benefits for the body and mind, ranging from  
126 enhancing memory consolidation<sup>16,28,29</sup> to regulating immunity<sup>30</sup>. Here we show that sleep also  
127 restores transmission of a key wake-promoting neuromodulator. A previous study based on  
128 microdialysis showed that over a 6 h period of SD the NE level in the mPFC declined during the  
129 last 1-2 h<sup>18</sup>. However, it is unclear whether the observed NE reduction was caused by lowered  
130 LC neuron firing rates or reduced efficacy of NE transmission. In this study, we measured NE  
131 release evoked by a single pulse of optogenetic LC stimulation, enabled by the high temporal  
132 resolution of GRAB sensor imaging<sup>20</sup>. We showed that the efficacy of evoked NE release  
133 decreases over the duration of wakefulness and is restored by sleep (Fig. 1).

134 The reduction of evoked NE release is activity dependent. Even 30 s of stimulation  
135 caused a strong reduction (Fig. 4d,e), consistent with previous observations that strong LC  
136 activation rapidly reduced NE release<sup>4,17</sup>. Interestingly, the time course of recovery scales with  
137 the duration of wakefulness or LC neuron activation, from minutes (Fig. 4c,e) to hours (Figs. 1,  
138 2). Thus, activity-dependent functional fatigue and recovery of LC-NE neurons can occur on  
139 multiple timescales<sup>17</sup>.

140 Sleep-dependent recovery of NE transmission (Fig. 1e,f, and Fig. 4c) is likely due, at  
141 least in part, to reduced LC neuron activity<sup>14-16</sup>, which may restore the intracellular NE level by  
142 rebalancing the rates of release, reuptake, and synthesis. On the timescale of hours, the rate of  
143 recovery of NE transmission depends on mTOR signaling (Fig. 3e,h). The slower recovery in  
144 *Rptor*<sup>-/-</sup> mice could be partly explained by the reduced TH in LC-NE neurons (Fig. 3a,b), which  
145 may reduce the rate of NE synthesis. Sleep has also been shown to promote mTOR activity and  
146 protein synthesis in general<sup>21-23,31</sup>. It would be interesting to examine whether other mTOR-  
147 dependent mechanisms also contribute to the recovery of LC-NE transmission during sleep.

148 Our observation that a single pulse of LC stimulation can evoke transient wakefulness  
149 (Extended Data Fig. 1c,d) is consistent with the well-known arousal-promoting function of these  
150 neurons<sup>4-9</sup>. The SD-induced decrease in NE transmission diminished the wake-promoting  
151 potency of LC neurons (Fig. 1g and 2d), which could contribute to the decreased arousability of  
152 the animal after sleep deprivation<sup>32</sup>, a hallmark of sleep homeostasis<sup>33</sup>. Given the importance of  
153 NE in regulating a broad range of cognitive functions<sup>11</sup>, its reduced transmission is also likely to  
154 contribute to SD-induced cognitive impairment<sup>2,18</sup>. Moreover, LC neurons are particularly prone

155 to neurodegeneration in both Alzheimer's and Parkinson's diseases<sup>34,35</sup>, partly due to their  
156 vulnerability to activity-dependent metabolic stress<sup>36</sup>. Thus, the restorative effect of their  
157 inactivation during sleep is likely to extend beyond the recovery of NE transmission and  
158 contribute to the long-term health of LC neurons.

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241

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245

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247 majority of the experiments and data analysis; YZ, AYA, ERL, CJ contributed to preliminary  
248 results leading to the development of the project; DF carried out the IHC experiment, JH  
249 corrected sleep scoring, DD assisted with fiber photometry and statistical analysis; DS set up the  
250 sleep deprivation platform. JS and YD wrote the manuscript with input from all authors.

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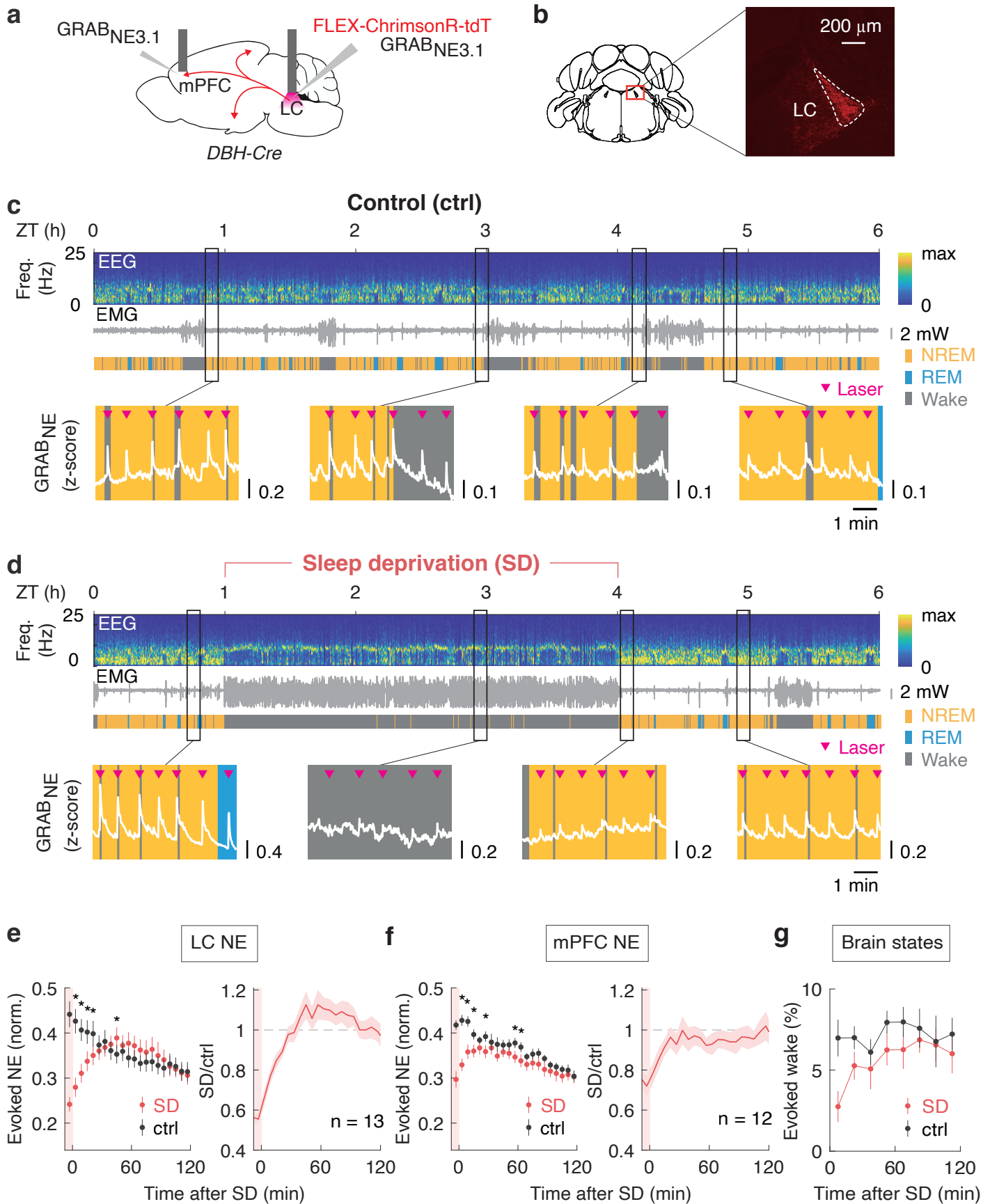
252 **Competing interests:** The authors declare no competing interests.

253

254 **Materials request & Correspondence** should be addressed to Yang Dan.

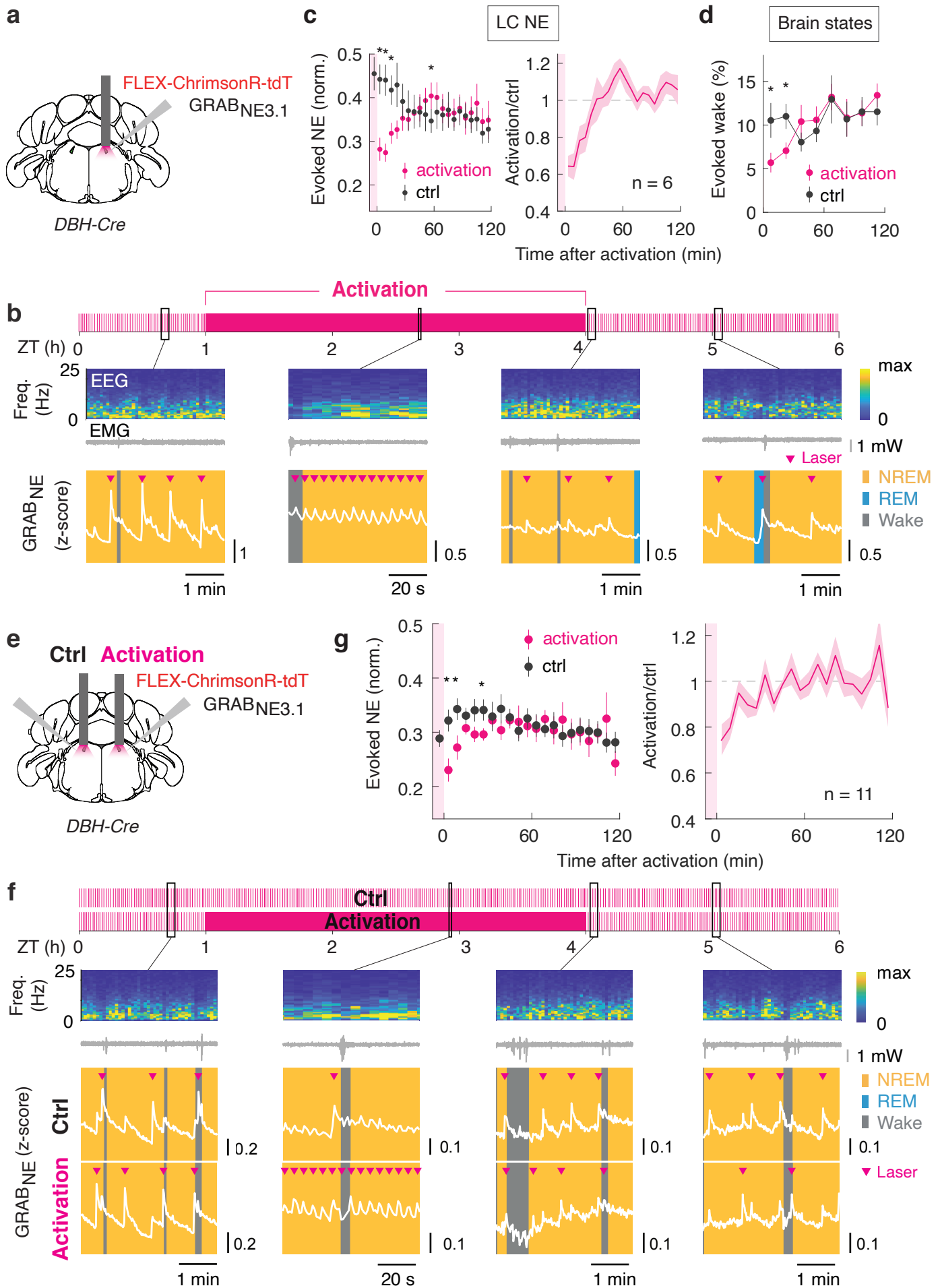
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256 **Data and materials availability:** All data are available upon request.

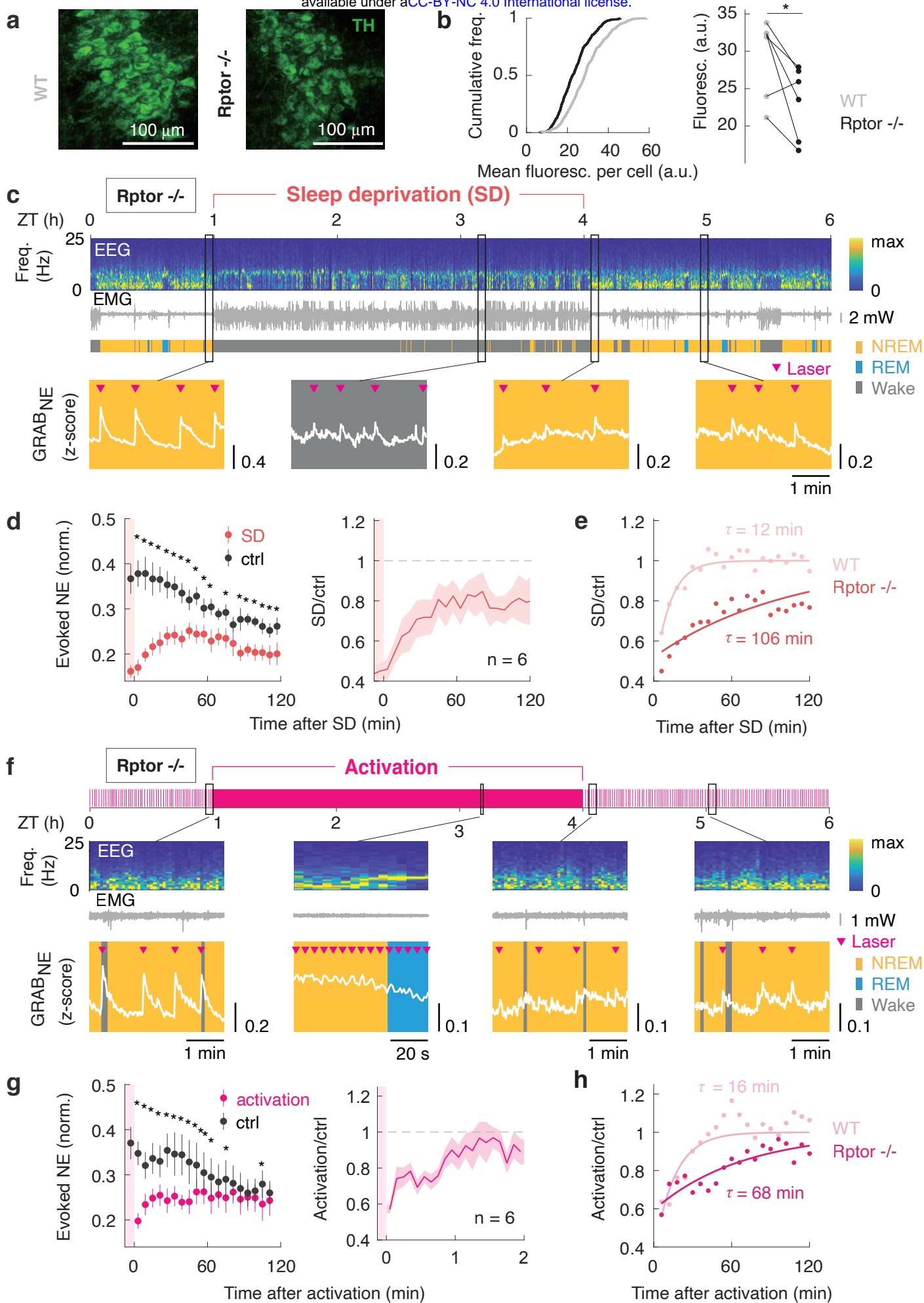




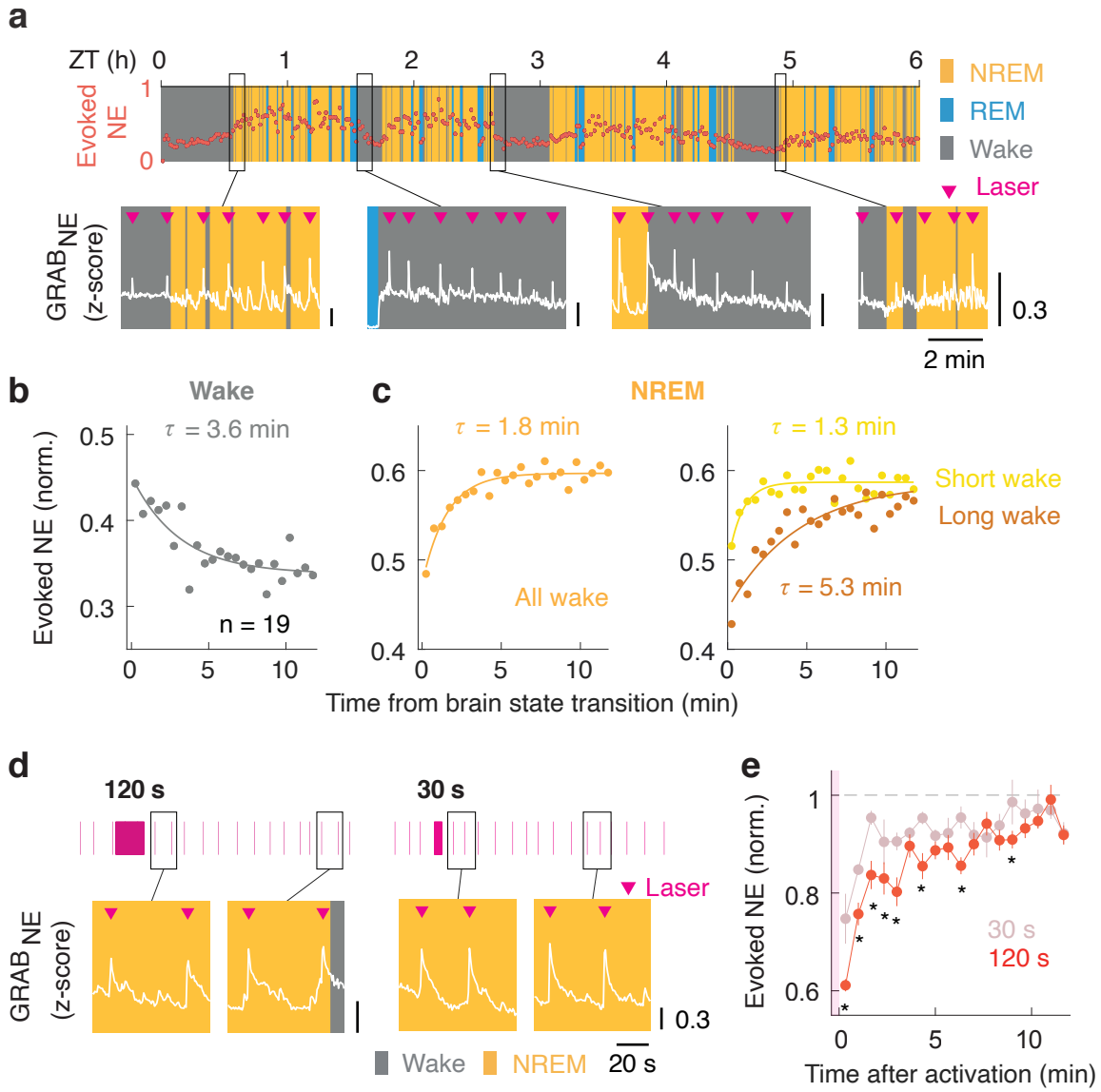
257 **Fig. 1. LC-NE transmission is reduced by sleep deprivation and restored during sleep. a,**  
258 Schematic for measuring evoked NE release in LC and mPFC. **b,** Fluorescence image showing  
259 ChrimsonR-tdTomato expression in LC-NE neurons. **c,** Example control session showing EEG,  
260 EMG, and color-coded brain states. GRAB<sub>NE3.1</sub> fluorescence (z-score) during selected periods  
261 (vertical boxes) are shown on expanded timescale. Pink arrowheads indicate laser pulses. **d,**  
262 Example SD session (SD: ZT 1-4) with GRAB<sub>NE3.1</sub> traces shown at similar time points as in  
263 control. **e&f,** Left, laser-evoked NE response for control (black) and SD (red) mice binned every  
264 6 min and averaged across animals in post-SD period in LC (**e**) and mPFC (**f**). Error bar, SEM.  
265 Right, SD/ctrl ratio averaged across all mice. Shading, SEM.  $P_{LC-NE} < 2.2 \times 10^{-16}$ ,  $P_{treatment(LC-NE)}$   
266  $= 0.03$ ,  $P_{time(LC-NE)} = 1.3 \times 10^{-13}$ ;  $P_{mPFC-NE} = 0.009$ ;  $P_{treatment(mPFC-NE)} = 1.7 \times 10^{-13}$ ,  $P_{time(mPFC-NE)} <$   
267  $2.2 \times 10^{-16}$ , \*  $P < 0.05$  (two-way ANOVA with Bonferroni correction). **g,** Probability of  
268 wakefulness induced by each 50-ms laser pulse binned every 15 min in post-SD period in control  
269 (black) and SD (red) condition (n = 13). Error bar, SEM.  $P_{treatment} = 1.2 \times 10^{-4}$ ,  $P_{time} = 0.04$  (two-  
270 way ANOVA).  
271



272 **Fig. 2. Prolonged LC-NE neuron activation causes reduction of NE transmission. a,**  
273 Schematic for 3-h 0.2-Hz LC activation experiment. **b,** Example LC activation session showing  
274 EEG, EMG, color-coded brain states, and GRAB<sub>NE3.1</sub> fluorescence at selected time points before,  
275 during or after 0.2 Hz activation (ZT 1-4). **c,** Left, laser-evoked NE release binned every 6 min  
276 and averaged across animals in post-activation period in LC. Error bar, SEM. Right,  
277 activation/ctrl ratio averaged across all mice. Shading, SEM.  $P = 4.0 \times 10^{-14}$ ,  $P_{\text{treatment}} = 0.8 \times 10^{-3}$   
278 (two-way ANOVA); **d,** Probability of wakefulness induced by each 50-ms laser pulse after 3 h  
279 LC activation (red) and in control (black), binned every 15 min ( $n = 6$ ). Error bar, SEM.  $P =$   
280  $0.02$ ,  $P_{\text{time}} = 2.9 \times 10^{-4}$  (two-way ANOVA); **e,** Schematic for LC activation experiment  
281 comparing evoked NE release on the activated side to contralateral control side. **f,** Example  
282 session showing EEG, EMG, color-coded brain states, and GRAB<sub>NE3.1</sub> fluorescence at selected  
283 time points. Upper, ctrl LC; lower, activated LC. **g,** Left, laser-evoked NE response in post-  
284 activation period. Error bar, SEM. Right, ratio between activated and control side averaged  
285 across all mice. Shading, SEM.  $P = 0.006$ ,  $P_{\text{treatment}} = 0.006$  (two-way ANOVA); \*  $P < 0.05$  (two-  
286 way ANOVA with Bonferroni correction).  
287



288 **Fig. 3. Genetic inactivation of mTOR signaling slows down recovery of LC-NE**  
289 **transmission. a**, Example images for TH immunostaining (green) in LC in WT and *Rptor*<sup>-/-</sup>  
290 mice. **b**, Cumulative frequency for TH fluorescence in individual cells in *Rptor*<sup>-/-</sup> (black, n = 6)  
291 and WT (grey, n = 6) mice. P = 0.04 (paired t-test). **c**, Example SD session showing EEG, EMG,  
292 and GRAB<sub>NE3.1</sub> fluorescence at selected time points in *Rptor*<sup>-/-</sup> mutant. **d**, Left, laser-evoked NE  
293 response in post-SD period in *Rptor*<sup>-/-</sup> mutants. Error bar, SEM. P =  $2.4 \times 10^{-5}$ , P<sub>treatment</sub> <  $2.2 \times$   
294  $10^{-16}$ , P<sub>time</sub> =  $1.9 \times 10^{-6}$  (two-way ANOVA). Right, SD/ctrl ratio averaged across all mice.  
295 Shading, SEM. **e**, Single exponential curve fit for laser-evoked NE release after SD for *Rptor*<sup>-/-</sup>  
296 (dark red, n = 6) and WT (light red, n = 6) mice. P<sub>treatment</sub> <  $2.2 \times 10^{-16}$ , P<sub>time</sub> =  $1.4 \times 10^{-9}$  (two-  
297 way ANOVA). **f**, Example LC activation session for *Rptor*<sup>-/-</sup> mutant. **g**, Left, laser-evoked NE  
298 release in post-activation period for *Rptor*<sup>-/-</sup> mice. P =  $1.7 \times 10^{-6}$ , P<sub>treatment</sub> <  $2.2 \times 10^{-16}$ , P<sub>time</sub> =  
299 0.002 (two-way ANOVA). Right, activation/ctrl ratio averaged across all mice. Shading, SEM.  
300 **h**, Single exponential curve for laser-evoked NE responses after LC activation in *Rptor*<sup>-/-</sup> (dark  
301 pink, n = 6) and WT (light pink, n = 6) mice. P = 0.01, P<sub>treatment</sub> =  $4.9 \times 10^{-14}$ , P<sub>time</sub> <  $2.2 \times 10^{-16}$ . \*  
302 P < 0.05 (two-way ANOVA with Bonferroni correction).  
303





304 **Fig. 4. Decline and recovery of LC-NE transmission in natural sleep-wake cycles. a,**  
305 **Example GRAB<sub>NE3.1</sub> fluorescence showing the dynamics of laser-evoked NE response in the LC**  
306 **in natural sleep/wake cycles. b, Single exponential curve fit for evoked NE response in the LC**  
307 **after the onset (time 0) of wake bouts. Data points are averaged across trials and binned every 30**  
308 **s. c, Left, single exponential curve fit for evoked NE response in the LC in NREM after wake to**  
309 **NREM transition (time 0). Right, single exponential fits for NREM following short (yellow) or**  
310 **long (brown) wake bouts.  $P_{\text{treatment}} < 2 \times 10^{-16}$ ,  $P_{\text{time}} < 2 \times 10^{-16}$  (two-way ANOVA). d, Example**  
311 **GRAB<sub>NE3.1</sub> fluorescence showing evoked NE release in LC after 1 Hz LC activation for either**  
312 **120 s or 30 s. Vertical bars represent 50-ms laser pulses. e, Laser-evoked NE response in NREM**  
313 **in LC after LC activation for 30 s (light red, n = 7) or 120 s (red, n = 5). Data points are averaged**  
314 **across animals and binned every 40 s. Error bar, SEM.  $P = 0.03$ ,  $P_{\text{treatment}} = 2.2 \times 10^{-9}$ ,  $P_{\text{time}} < 2 \times$**   
315  **$10^{-16}$ ; \*  $P < 0.05$  (two-way ANOVA with Bonferroni correction).**

## 1 **Methods**

### 2 **Animals**

3 All experimental procedures were carried out in accordance with the protocol approved by the animal care  
4 and use committee at the University of California, Berkeley. Animals were housed on a 12-h dark/12-h  
5 light cycle with light onset at 7 am. Adult (>2 months old) male and females were used for all experiments.  
6 Mice were typically housed in groups of up to 5 animals. After surgery, animals were individually housed  
7 to prevent damage to the implant. Experiments were conducted at least 2 weeks after surgery.

8

### 9 **Breeding strategy**

10 *DBH-Cre* mice (B6.FVB(Cg)-Tg(DBH-Cre)KH212Gsat/Mmucd, MMRRC: 036778-UCD) were crossed  
11 with black 6 females (C57BL/6) to produce *DBH-Cre* experimental mice. To generate LC-specific *Rptor*  
12 knock-out, male and female mice heterozygous for *Rptor* (Jax 013188) and *DBH-Cre* were crossed  
13 (*Rptor*<sup>wt/fl</sup>;*DBH-Cre*<sup>wt/+</sup> × *Rptor*<sup>wt/fl</sup>;*DBH-Cre*<sup>wt/+</sup>) to produce experimental animals: homozygous *Rptor* KO  
14 (*Rptor*<sup>fl/fl</sup>;*DBH-Cre*<sup>wt/+</sup>, referred to as *Rptor* -/-) or WT (*Rptor*<sup>wt/wt</sup>;*DBH-Cre*<sup>wt/+</sup>, referred to as WT). For  
15 experiments involving comparisons between the two genotypes, age- and sex- controlled mice from the  
16 same breeding pairs were used (Fig. 3e,h).

17 Genotyping primers for *DBH-Cre* mice are as follows:

18 Forward: AATGGCAGAGTGGGGTTGGG

19 Reverse: CGGCAAACGGACAGAAGCATT

20

### 21 **Surgical procedures**

22 Adult mice (6 - 12 weeks old) were anesthetized with isoflurane (5% induction, 1.5% maintenance) and  
23 placed on a stereotaxic frame. Buprenorphine (0.1 mg/kg, subcutaneous) and meloxicam (10 mg/kg,  
24 subcutaneous) were injected before surgery. Lidocaine (0.5%, 0.1 mL, subcutaneous) was injected near the  
25 target incision site. Body temperature was stably maintained throughout the procedure using a heating pad.  
26 After sterilization with ethanol and betadine, the skin was incised to expose the skull, and a patch of scalp  
27 and connective tissue were removed. Surgeries typically consisted of virus injection followed by optical  
28 fiber and EEG/EMG electrodes implantation.

29

### 30 **Virus injections, EEG/EMG and optical fiber implantation**

31 For virus injections, a craniotomy (1 mm diameter) was drilled on top of the target site. Injections were  
32 performed using Nanoject II (Drummond Scientific) with pulled glass pipettes. The injection settings were  
33 40 nL per pulse at the rate of 23 nL/s with 20 s interval between pulses. A mixture of equal amount of  
34 AAV-hSyn-FLEX-ChrimsonR-tdT ( $5.7 \times 10^{12}$  gc/mL, from University of North Carolina at Chapel Hill  
35 virus core) and AAV9-hSyn-GRAB<sub>NE3.1</sub> ( $2-9 \times 10^{13}$  gc/mL, WZ Biosciences) were injected bilaterally into  
36 the LC at multiple depths (-5.4 AP, ±0.9 ML, -3.4, -3.6 and -3.8 DV). For fiber photometry imaging at the  
37 mPFC, AAV9-hSyn-GRAB<sub>NE3.1</sub> was injected (+1.8 AP, +0.3 ML, -2.0, -2.25 and -2.5 DV with 100nl at  
38 each depth).

39

40 For EEG/EMG recording, a reference screw was inserted into the skull on top of the left cerebellum. Two  
41 EEG electrodes were implanted about 3 mm posterior to bregma and 2.5 mm from the midline. Two EMG  
42 electrodes were inserted into the neck muscles. Insulated leads from the EEG and EMG electrodes were  
43 soldered to a pin header, which was secured to the skull using dental cement.

44

45 Fiber optic cannula (1.25-mm ferrule, 200- $\mu$ m core) were implanted at -5.4 AP,  $\pm$ 0.9 ML, -3.5DV in the  
46 LC; and +1.8 AP, +0.3 ML and -2.0 DV in the mPFC. All screws, optical fibers and connectors required  
47 for EEG/EMG recordings were then secured onto the skull using dental cement. Animals were monitored  
48 till regaining motor ability before returning to the housing room.

49

### 50 **Close-loop sleep deprivation**

51 Mice in their home cages were placed on an orbital shaker for habituation the day before sleep deprivation.  
52 An Internet-of-Things relay device (Digital Loggers) was used to control motion ( $\sim$ 200 revolutions per  
53 minute) of an orbital shaker (MT-201-BD, Labnique) with a TTL pulse from a RZ10X Processor (Tucker-  
54 Davis Technologies, TDT). For SD (ZT 1-4), a custom-written MATLAB program was used to calculate  
55 the real-time EMG activity of the mouse and generate TTL pulses to activate the shaker when EMG drops  
56 below a preset threshold indicative of sleep . Shaker activities introduced occasional sharp artifacts in the  
57 photometry data but rarely affected the calculation of laser-evoked NE responses. We removed the electric  
58 noise introduced by the shaker for displaying examples of EEM and EMG data in Fig. 1d and Fig. 3c; all  
59 other calculations were unfiltered.

60

### 61 **Polysomnographic recording and brain state classification**

62 EEG/EMG signals were acquired using a TDT PZ5 amplifier and Synapse software, with a bandpass filter  
63 of 0.5–300 Hz and sampling rate at 1017 Hz. Spectral analysis was carried out using fast Fourier transform,  
64 and brain states were classified as previously described (wake: desynchronized EEG and high EMG  
65 activity; NREM: synchronized EEG with high-amplitude, low-frequency (1–4 Hz) activity and low EMG  
66 activity; REM: high EEG power at theta frequencies (6–9 Hz) and low EMG activity). The classification  
67 was made with 5-s bins using a custom-written graphical user interface (programmed in MATLAB,  
68 MathWorks) <sup>1</sup>. Data were presented as the mean across animals in all plots.

69

### 70 **Optogenetic stimulation and Fiber photometry**

71 To enable simultaneous laser stimulation and fiber photometry imaging, pulses generated from 635 nm red  
72 laser diode (RWD Life Science) were sent to the same dichroic mini-cube (Doric lenses) used for fiber  
73 photometry. Laser powers were titrated as follows as measured at the tip of the patch cables: 2 mW for  
74 unilateral LC activation (Fig. 2e-g); 4-6 mW for all other experiments. Test laser pulses had a width of 50  
75 ms at random intervals between 80 – 160 s for the unilateral LC activation experiment (Fig. 2e-g), 40 - 80  
76 s for all other experiments. For minute-scale LC activation experiments (Fig. 4d,e, and Extended Data Fig.  
77 5), the inter-stimulation interval was 16 min.

78 Fiber photometry recording was performed using TDT RZ10x real-time processor. Fluorescence elicited  
79 by 405 nm and 465 nm LEDs (at 210 and 330 Hz, respectively) were filtered through the dichroic mini-  
80 cube (Doric lenses) and collected with an integrated photosensor. Signals were demodulated and pre-  
81 processed using the TDT Synapse software, with which optogenetic stimulation, fiber photometry and  
82 EEG/EMG recordings were synchronized.

### 83 **Calculation of laser-evoked NE release**

84 For 6 h recordings (Fig. 1 to 3), 465nm signals for each session were z-scored and normalized to the mean  
85 of the first hour (ZT 0-1). Evoked NE responses for each pulse were calculated as the difference between

86 1s after laser and 1s before and binned every 6 min. Single exponential curve fitting was done in MATLAB  
87 with the formula:  $1-b*\exp(-x/t)$ . For LC activation on minute scales (Fig. 4 and Extended Data Fig. 5),  
88 laser-evoked NE responses in NREM were normalized to the mean of 5 min before laser and binned every  
89 40 s. Data were presented as the mean across animals in all plots.

90

### 91 **Calculation of laser-evoked brain states changes**

92 Laser-evoked wakefulness was calculated as the percentage of wakefulness 20 s after laser minus that of  
93 20 s before, binned every 15 min, and averaged across animals.

94

### 95 **Brain-state-dependent analysis**

96 For brain-state-specific analysis in Fig. 4, we defined NREM to wake transitions as >30s of NREM  
97 followed by >30s of wakefulness. Similarly, wake to NREM transitions were defined as >30s of  
98 wakefulness followed by >30s of NREM. Wake bouts were selected for analysis if 85% wake were present  
99 in the following 12 min after transition; NREM bouts were selected if there was <15% wakefulness 12 min  
100 after wake to NREM transition. Short and long wake bouts were separated based on the median duration of  
101 all wake bouts (55 s). Laser-evoked NE responses were then binned every 30 s and averaged across all  
102 trials. Single exponential curve fitting was done in MATLAB with the formula:  $a-b*\exp(-x/t)$ . Fig. 4e was  
103 binned every 40 s and average across animals.

104

### 105 **Histology and immunohistochemistry**

106 Mice were deeply anesthetized using isoflurane and transcardially perfused using PBS followed by 4%  
107 paraformaldehyde in PBS (Gibco). Brains were post-fixed overnight in 4% paraformaldehyde (Electron  
108 Microscopy Sciences), then de-hydrated overnight in a 30% sucrose PBS solution before cryopreservation  
109 in a -80°C freezer. Brains were embedded and mounted with Tissue-Tek OCT compound (Sakura Finetek)  
110 and sectioned in coronal positions at 30  $\mu$ m using a cryostat (Thermo Scientific). For immunostaining,  
111 floating brain slices were washed 3 times with 1x PBS for 10 min each, permeabilized, and incubated in a  
112 blocking solution (5% normal bovine serum in 1x PBS, 0.4% Triton X-100) with primary antibodies  
113 overnight at 4 °C on a rotating shaker. The next day, after washing 3 times in 1x PBS for 10 min each, brain  
114 slices were incubated in fluorescently conjugated secondary antibodies (1:500, Invitrogen) for 2 h at room  
115 temperature. Finally, brain slices were washed in 1X PBS, counterstained with 4',6- diamidino-2-  
116 phenylindole dihydrochloride (DAPI; Sigma-Aldrich) and mounted on slides with VECTASHIELD  
117 antifade Mounting Medium (Vector Laboratories, H-1000). Images were acquired using a fluorescence  
118 microscope (Keyence BZX-710) with the same exposure settings for WT and *Rptor*<sup>-/-</sup> pairs. For TH  
119 staining, each ROI (cell) was manually identified, and fluorescent signals were quantified using ImageJ. In  
120 total, 670 and 679 cells from 6 pairs of animals were quantified for *Rptor*<sup>-/-</sup> and WT animals, respectively.

121

122 Primary and secondary antibodies used are as follows:

123 Rabbit anti-TH antibody (ab112, abcam, 1:300)

124 Donkey anti-rabbit secondary antibody, Alexa fluorophore 647 (A-31573, Invitrogen; 1:500)

125

### 126 **Statistics**

127 Statistical analysis was performed in MATLAB (paired or unpaired t-test) and R (ANOVA). Significance  
128 was determined at  $P < 0.05$ . For two-way mixed model ANOVA, random slopes and intercepts models  
129 were specified and mouse/subject were included as a random covariate using the *lme4* package<sup>2</sup>. Post hoc

130 analyses were t-tests with Bonferroni corrections for multiple comparisons and were conducted using the  
131 *lsmeans* package<sup>3</sup> in R. All statistical comparisons are two-sided and conducted on animal averages (i.e.,  
132 each animal has one observation per level(s) of the independent variable).

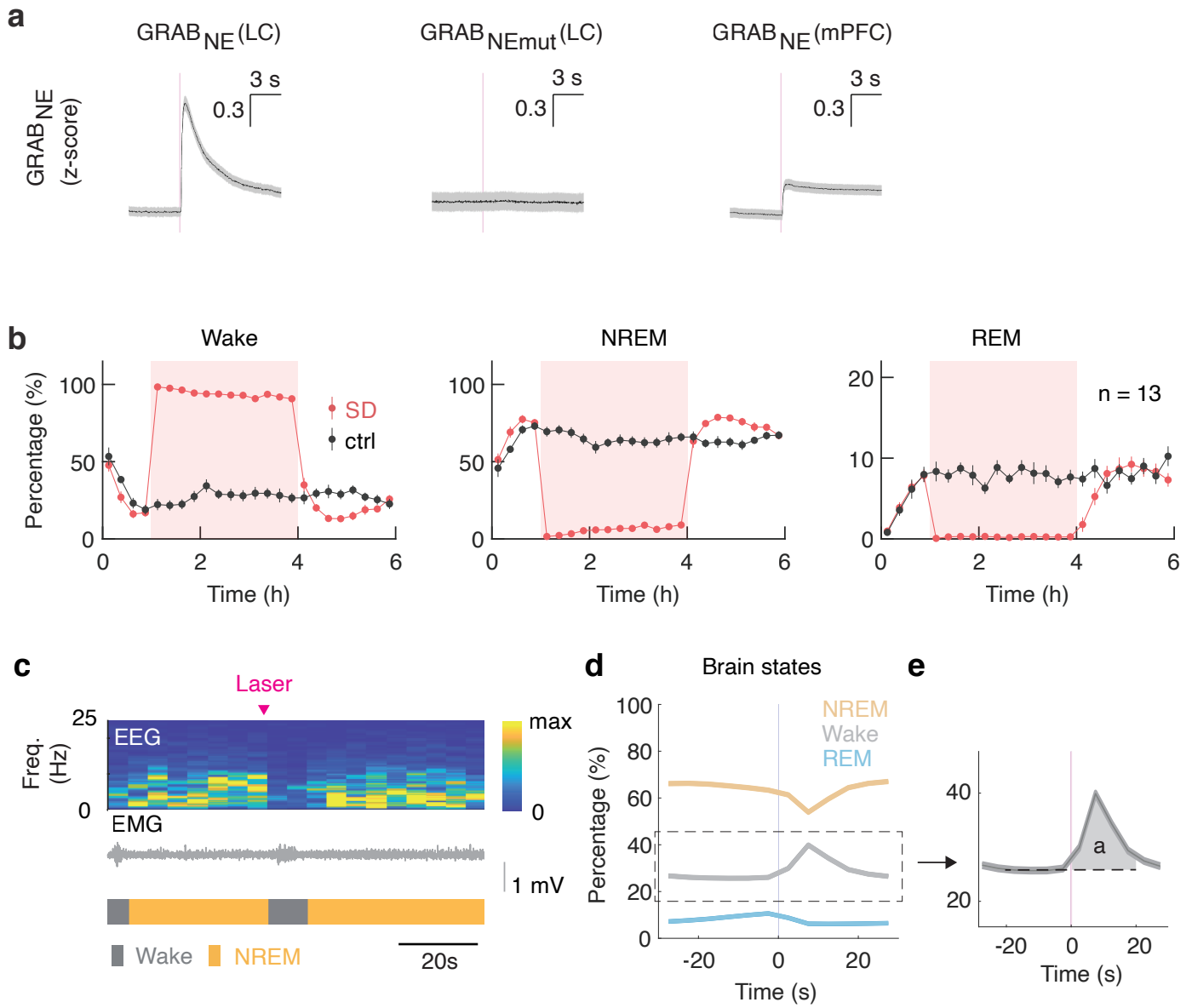
133

#### 134 **Additional References**

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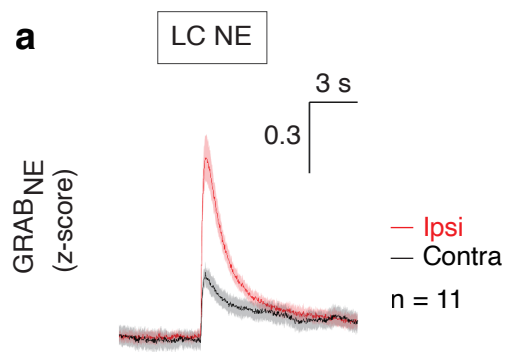
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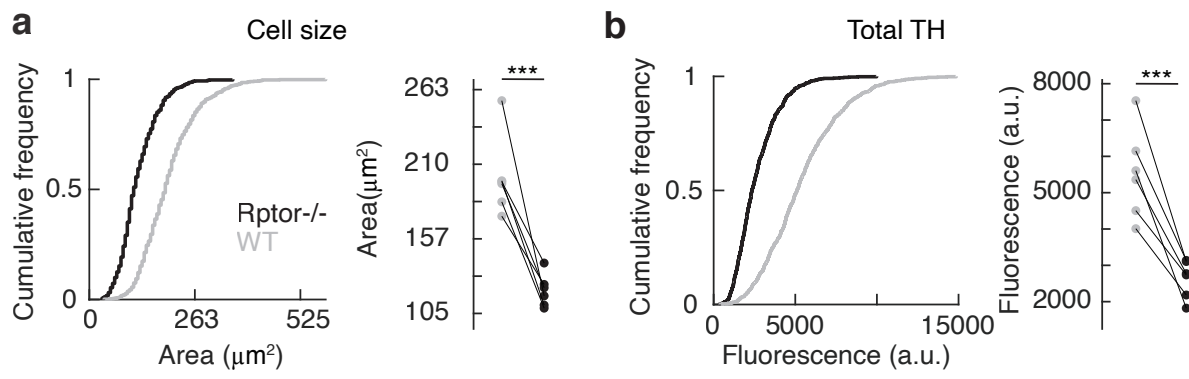




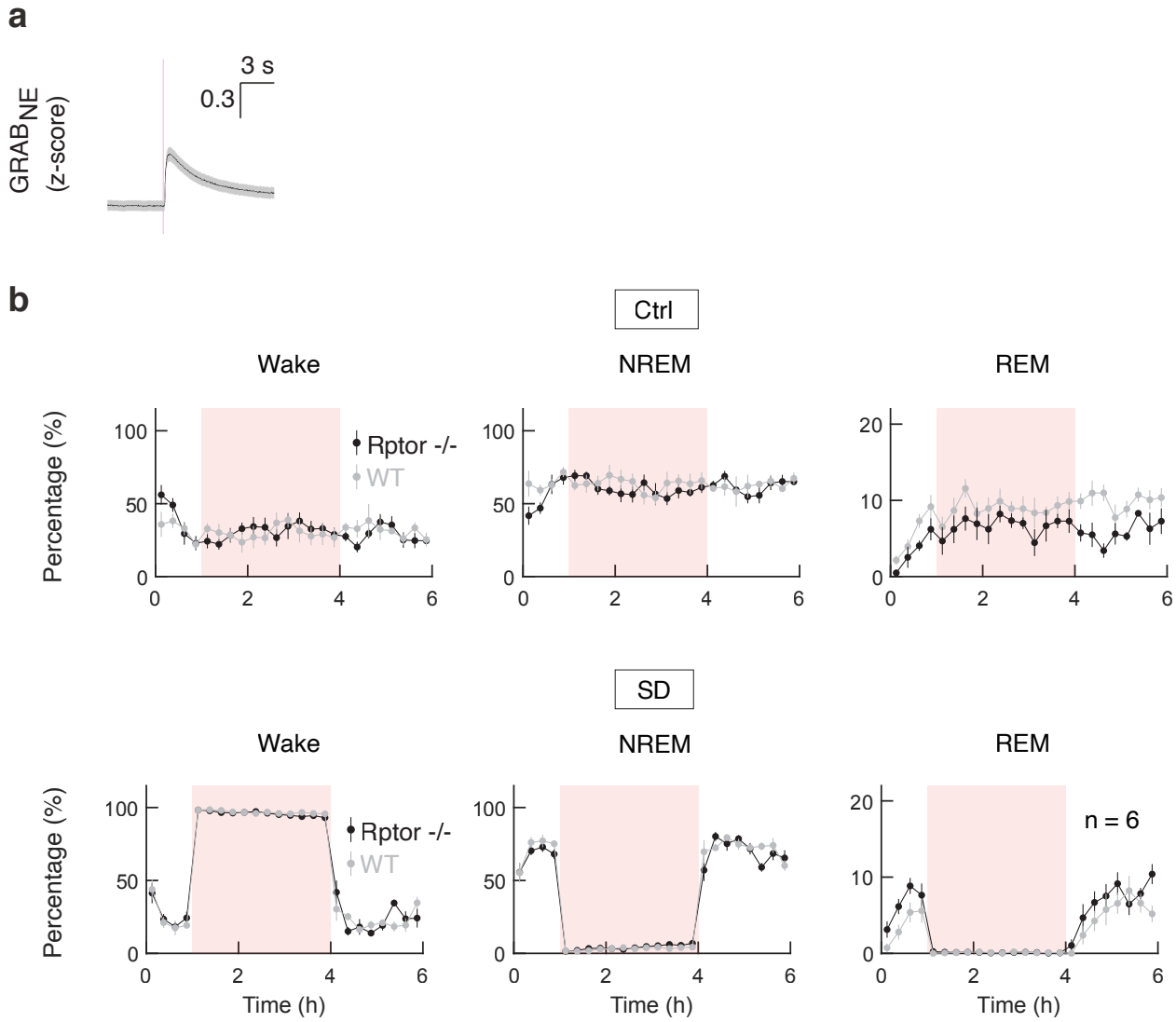
141 **Extended Data Fig. 1 | Laser-evoked NE release and brain state changes in SD experiment.**  
142 **a**, Examples of laser-triggered GRAB<sub>NE3.1</sub> fluorescence (z-score) in the LC and ipsilateral mPFC  
143 in one recording session. NE mutant sensor (GRAB<sub>NEmut</sub>) shows no laser induced responses.  
144 Shading, SEM. **b**, Percentages of each brain state binned every 20 min and averaged across animals  
145 in SD (red) or control (black) condition. **c**, An example showing that a single 50 ms pulse induced  
146 transient wakefulness as characterized by EEG activation and increased EMG activity. **d**,  
147 Percentages of each brain state before and after 50 ms laser pulses (average across mice, n = 13).  
148 **e**, Laser-evoked wakefulness is calculated as the difference in the percentage of wakefulness 20 s  
149 before and after laser.  
150



151 **Extended Data Fig. 2 | Crosstalk between the two sides in the LC activation experiment. a,**  
152 Laser-evoked NE release on the activated side were ~ 3-fold greater than that of the contralateral  
153 side (Average across mice, n =11). Shading, SEM.  
154



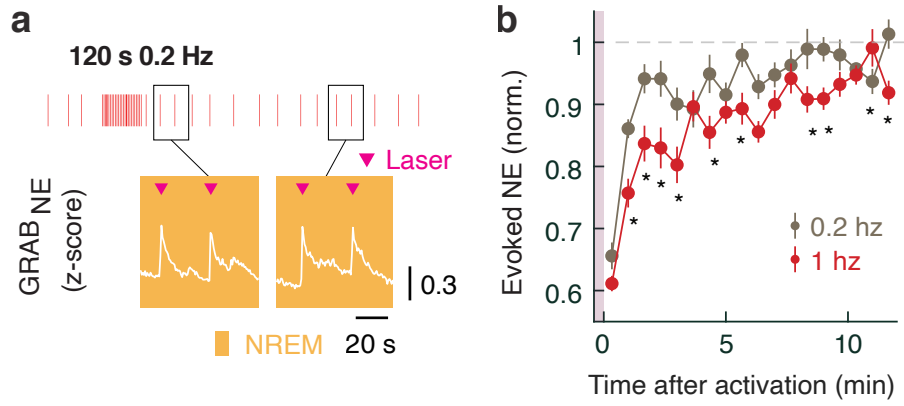
155 **Extended Data Fig. 3 | Reduced LC neuron cell size and TH abundance in *Rptor*<sup>-/-</sup> mutants.**  
156 **a&b**, Cumulative frequency of cell size (**a**) and total TH fluorescence (**b**) of individual LC neurons  
157 in *Rptor*<sup>-/-</sup> (n = 6) and WT (n = 6) mice.  $P_{\text{Cell size}} = 0.002$ ;  $P_{\text{total TH}} = 0.001$  (paired t-test).  
158





159 **Extended Data Fig. 4 | Brain states in the SD experiment of *Rptor*<sup>-/-</sup> mutants.** **a**, 50 ms laser  
160 pulses elicited robust NE response in the LC in *Rptor*<sup>-/-</sup> mutants, although with a smaller peak  
161 amplitude than WT. Plotted example from one recording session. Shading, SEM. **b**, Percentages  
162 of each brain state averaged across mice for *Rptor*<sup>-/-</sup> (black, n = 6) or WT (grey, n = 6) in control  
163 (upper panel) or SD (lower panel) condition. Data points are binned every 20 min.

164



165 **Extended Data Fig. 5 | Recovery rate of LC NE transmission depends on frequency of LC**  
166 **activation. a**, Example GRAB<sub>NE3.1</sub> fluorescence showing evoked NE release in the LC after 0.2  
167 Hz LC activation for 120s. Vertical bars represent 50 ms laser pulses. **b**, Laser-evoked NE response  
168 in NREM after LC activation at the frequency of 0.2 (grey, n = 6) or 1 Hz (red, n = 7). Data points  
169 are averaged across animals and binned every 40 s.  $P = 0.009$ ,  $P_{\text{treatment}} = 1 \times 10^{-11}$ ,  $P_{\text{time}} < 2.2 \times$   
170  $10^{-16}$ ; \*  $P < 0.05$  (two-way ANOVA with Bonferroni correction).  
171