Understanding Resubmission Rules & How to Follow the Application Guide, FOA, and Guide Notices

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Agenda

- Overview

- Understanding the Resubmission Rules

- Which Instructions to Follow: Application Guide, Funding Opportunity Announcement, or the Guide Notice
Overview

- What to Expect:
  - A clear understanding of the rules for submitting a NIH Resubmission Application.
  - How to prioritize the instructions for your application as it relates to following the Application Guide, Funding Opportunity Announcement, or the Guide Notice.
Understanding the Resubmission Rules

- Only a single resubmission (A1) of a competing new, revision or renewal application (A0) will be accepted.

- Following an unsuccessful resubmission (A1) application, applicants may submit the same idea as a new (A0) application for the next appropriate new application due date (see NOT-OD-18-197 for exceptions).

- Resubmissions (A1s) must be submitted within 37 months of the last competing new, revision, or renewal (A0) application.

*Note that the A1 application will be reviewed using the review criteria in the current FOA, not the criteria in the FOA used for the A0 submission.*
Understanding the Resubmission Rules

- If your resubmission of a new application (A1) is not awarded, you can try again as a new (A0) application. Remember, not to mention your previous submission or reviewer feedback anywhere in your application - not even the cover letter.

- It’s okay to make any changes necessary to address reviewer feedback and strengthen your application, just remember not to explicitly point out what you’ve changed or why. When you submit your new (A0) application, it will be treated as if NIH has never seen it before and it’ll receive a new application number with no comparisons to previous submissions.

- If your resubmission of a renewal application is not awarded, then you must come back in as a new application on a due date for new applications. You are only allowed the original renewal application (A0) and the single resubmission of the renewal (A1) and that is it.
Understanding the Resubmission Rules

- If neither application is awarded, you lose continuity with your previous award. Your new application can talk about your preliminary data and publications, but don’t frame it as your accomplishments on your specific aims or your productivity under the past award. Your new application should not include a Progress Report nor Progress Report Publication List or mention that it follows your resubmission of a renewal.

- If you’re not sure whether the application should be marked as new or resubmission, than an easy rule of thumb to remember is that any application that is submitted in response to a reviewer’s feedback should be marked as resubmission.

- So, if an applicant is submitting a resubmission of a renewal or a resubmission of a revision, then resubmission should be chosen as the single application type.
How to Follow the Application Guide, FOA, and Notices

- You may find that the guidance in the application guide, FOA (Funding Opportunity Announcement), and/or a Guide Notice may conflict with each other.

- The FOA instructions win over instructions found in the application guide whereas the Guide Notice instructions win over both the FOA and the application guide.
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### NIH Research Project Grant (Parent R01 Clinical Trial Not Allowed)

**Activity Code**
R01 Research Project Grant

**Assessment Type**
Release of SA-16-158 for due dates on or after January 25, 2016

**Related Notices**
- January 26, 2016 – Notice of Special Interest on Methodological Advancements to Improve Social Measurement and Its Consequences for Policy-making (not limited to those with disabilities, conditions, and complications). See Notice NOT-AC-16-006.
- July 14, 2016 – Notice of Suspension for 36 Months in Compliance with NIH’s Suspension List. See NOT-OD-16-075.

**Funding Opportunity Announcement (FOA) Number**
PA-18-484

**Compliance Funding Opportunity**
PA-18-484 - 1 Parent R01 Clinical Trial Required

**Check Components of Participating Organizations and Related Notices for Restrictions.**

### Key Dates

- **Submission Deadline (by the established due date):** January 5, 2016
- **Letter of Intent Due Date:** Not Applicable
- **Applications Due Date:** Standard dates apply, by R01 PM deadline of applicant organization. All types of NIH applications allowed. For this funding opportunity announcement are due these dates. The NIH Research Project Grant (R01) application due date is February 8, 2018. Applicants are encouraged to apply early to allow adequate time to make any corrections to errors found in the applications during the submission process by the due date.

### Funding Opportunity Announcement (FOA) Number
PA-19-056

**Compliance Funding Opportunity**
PA-19-056 - 1 Parent R01 Clinical Trial Required

**Check Components of Participating Organizations and Related Notices for Restrictions.**

- **Letter of Intent Due Date:** Not Applicable
- **Applications Due Date:** Standard dates apply
- **Scientific Review Board:** Standard dates apply
- **Advisory Council Review:** Standard dates apply
- **Earliest Start Date:** Standard dates apply
- **Resubmission Date:** Standard dates apply
- **Due Date:** See Notice.

**Research Objectives:**
- **Scientific Interest:**
- **Advisory Council Review:**
- **Earliest Start Date:**
- **Grant Proposal:**
- **Expiration Date:**
- **Due Date:**

See Notice.
Questions
Thank You!

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