### ACCOUNT INFORMATION SHEET

4108 - GE-Genetics Status Awarded

Date Issued 20-JUL-2005 Institution No 04635200

Account Information Account Start 01-JUL-05

Account End 30-JUN-10

PI

FRANKENSTEIN, VICTOR

IC Rate

58.5%

Account

400. 4108. 4. 542000. 3000. 2000. 6789

Fin Rpt Date 28-SEP-10 Prev Fund No

Legacy No Instrument

5-64238 **Grant** 

4108 - GE-Genetics

Assoc Dept Sponsor

NATIONAL INSTITUTES OF HEALTH (09470)

-- Current Increment --

-- Total Amount --

Award Direct Indirect

\$366.207 \$250,000 \$116, 207

\$366, 207 \$250,000

\$116, 207

-- CarryOver --

Date

Fund # Type Total Amt Comment

-- Remarks/Exclusions/Exceptions --

7/20/05 -REFER TO NOGA FOR TERMS AND CONDITIONS OF AWARD.

### Award Information

PI FRANKENSTEIN, VICTOR

Co-Investigator(s)

Assoc Dept 4108 - GE-Genetics

Title CLONING HUMANS TO SERVE AS BUSINESS ADMINISTRATORS

Short Title

-- Project Period Awarded Award No 1-R01-HG012345-01

Start Date 01-JUL-05 CFDA 93.855 School Log No End Date 04 - 055501-JUN-10

Fed Flow Thru Flag No Originating Sponsor

Cost Share No Cost Share Type None

Fund Purpose SPONSORED RESEARCH (10)

-- Prior Year(s)

Award Start DateEnd Date Direct Indirect Total Costs Totals \$0

-- Current Year -

Start DateEnd Date Award Direct Indirect Total Costs \* Period 1 \$250,000 \$116, 207 \$366, 207 Totals \$250,000 \$116, 207 \$366, 207

-- Future Year(s)

Start DateEnd Date Direct Indirect Total Costs Totals \$0 \$0 \$0

-- Projected Project Totals Direct Indirect Total Costs

\$250,000 \$366, 207 \$116, 207 -- Subcontract(s) --

Name Current Amt Total Amt \$39, 356

University of Transylvania \$39, 356

Radiation Safety Human Subjects Lab Animals From: OD OER NGA Mailer < ODOERNGAMAILER@OD.NIH.GOV> To: "'rs-award@pobox.upenn.edu" < rs-award@pobox.upenn.edu>,

Subject: NGA: 1 R01

PI:

Date:

00:07:55 -0400

X-Mailer: Internet Mail Service (5.5.2653.19)

RESEARCH

Issue Date:

Department of Health and Human Services

National Institutes Of Health

\*

\*\*\*\*

Grant Number: 1 R01 Principal Investigator:

**Project Title:** 

EXECUTIVE DIRECTOR
UNIVERSITY OF PENNSYLVANIA
RESEARCH SERVICES

PHILADELPHIA, PA 19104-3246 UNITED STATES

**Budget Period:** 

**Project Period:** 

**Dear Business Official:** 

The National Institutes of Health hereby awards a grant in the amount of \$\ (\see "Award Calculation" in Section I) to UNIVERSITY OF PENNSYLVANIA in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to terms and conditions referenced below.

Acceptance of this award including the Terms and Conditions is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Award recipients are responsible for reporting inventions derived or

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reduced to practice in the performance of work under this grant. Rights to inventions vest with the grantee organization provided certain requirements are met and there is acknowledgement of NIH support. In addition, recipients must ensure that patent and license activities are consistent with their responsibility to make unique research resources developed under this award available to the scientific community, in accordance with NIH policy. For additional information, please visit <a href="http://www.iedison.gov">http://www.iedison.gov</a>.

If you have any questions about this award, please contact the individual(s) referenced in the information below.

Sincerely yours,

King P. Bond, Jr. Grants Management Officer

See additional information below

SECTION I - AWARD DATA -

AWARD CALCULATION (U.S. Dollars):

Federal Direct Costs
Federal F&A Costs

APPROVED BUDGET TOTAL

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project, is as follows.

02

03

04

05

FISCAL INFORMATION: CFDA Number 93.853

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-0400, NGA:

EIN: 1231352685A1 Document Number: R1

IC/ CAN / FY2001 / FY2002 / FY2003 / FY2004 / FY2005

## **NIH ADMINISTRATIVE DATA:**

PCC: CI10 B / OC: 41.4A /Processed: BONDK 010718 0425

Award e-mailed to: rs-award@pobox.upenn.edu

# SECTION II - PAYMENT/HOTLINE INFORMATION - 1 R01

For Payment and HHS Office of Inspector General Hotline Information, see the NIH Home Page at <a href="http://grants.nih.gov/grants/policy/awardconditions.htm">http://grants.nih.gov/grants/policy/awardconditions.htm</a>

# SECTION III - TERMS AND CONDITIONS - 1 R01

This award is based on the application submitted to, and as approved by, the NIH on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Grant Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts, to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

(see NIH Home Page at <a href="http://grants.nih.gov/grants/policy/awardconditions.htm">http://grants.nih.gov/grants/policy/awardconditions.htm</a> for certain references cited above.)

This grant is awarded under the terms and conditions of the Federal Demonstration Partnership Phase III.

This grant is subject to Streamlined Noncompeting Award Procedures

(SNAP).

Treatment of Program Income: Additional Costs

### SECTION IV - NINDS SPECIAL TERMS AND CONDITIONS

This is a modular grant award without direct cost categorical breakdown in

accordance with the guidelines published in the 12/15/98 NIH Guide for Grants and Contracts (http://grants.nih.gov/grants/guide/notice-files/not98-178.html). Recipients are required to allocate and account for costs related to this award by category within their institutional accounting system in accordance with applicable cost principles. The significant rebudgeting provision does not apply to Modular Grant

The program official is responsible for the scientific, programmatic and technical aspects of this project. The grants management specialist is responsible for the negotiation, award and administration of this project and for interpretation of grants administration policies and provisions. These individuals work together in overall project administration. For up-to-date information, you may access the NIH home page at <a href="http://www.nih.gov/">http://www.nih.gov/</a>

For budgetary and policy issues for the above grant, contact Ms. Brenda Kibler, Grants Management Specialist. E-mail address: bk29j@nih.gov or Phone: 301-496-7441.

For scientific and programmatic issues for the above grant, contact Dr. Yuan Liu, Program Director. E-mail address: y15o@nih.gov or Phone: 301-496-3108.

Yuan Liu, Program Official Brenda Kibler, Grants Specialist

SPREADSHEET

**GRANT NUMBER:** 

P.I.:

INSTITUTION: UNIVERSITY OF PENNSYLVANIA

YEAR 01 YEAR 02 YEAR 03 YEAR 04 YEAR 05 

TOTAL FEDERAL DC TOTAL FEDERAL F&A **TOTAL COST** 

YEAR 01 YEAR 02 YEAR 03 YEAR 04 YEAR 05

F&A Cost Rate 1 58.50% 58.50% 58.50% 58.50% 58.50%

F&A Cost Base 1 F&A Costs 1