Enhancing Electronic Health Record (EHR)-Derived Data with Data from Secondary Sources to Address Multifactorial Problems in Real Life Populations

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Asthma and its Treatment

- Asthma is a chronic inflammatory lung disease characterized by episodes of airway obstruction
- $\beta_2$-agonists and glucocorticoids are commonly used drugs
- Treatment according to clinical guidelines decreases asthma symptoms, exacerbations and improves lung function in most patients
Influence of Genetics on Asthma is Substantial

- Heritability estimated to be 50-90%
- Several reproducible asthma-associated loci have been identified
  - ORMDL3/GSDMB
  - TSLP
  - HLA-DQA1
  - IL33
  - IL1RL1
  - IL13
  - SMAD3
  - RORA

Asthma Management is a Multifactorial Problem

Genomics, Transcriptomics, Epigenomics

Environmental Exposures

PTMs
DNA
Histone

Medications
Social Factors
U.S. Disparities in Asthma Prevalence by Race/Ethnicity

Data Source: National Health Interview Survey (NHIS) 2008-2010
Sex Disparities in Asthma Prevalence and Risk Factors

1,003,894 subjects 21+ years of age from Behavioral Risk Factor Surveillance Study (BRFSS) years 2007-2012

<table>
<thead>
<tr>
<th>Sex</th>
<th>Respondents with Current Asthma</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>26,490 (36.8%)</td>
</tr>
<tr>
<td>Female</td>
<td>66,958 (63.2%)</td>
</tr>
</tbody>
</table>

U.S. Adult Asthma Prevalence Varies Geographically

1,003,894 subjects 21+ years of age from BRFSS years 2007-2012

http://asthmamaps.org
Asthma Prevalence in Philadelphia is Higher than U.S.

Demographic Risk Factors in Philadelphia Mirror National Ones

Southeastern Pennsylvania Household Health Survey 2004-2015
EHRs are Valuable for Research

Provide convenient and low-cost access to longitudinal information of many patients that represent real-life populations

- Facilitate contact of people for research studies
- Enable improvement of clinical workflows at the point-of-care
- Derived data can be used for primary research
- Essential for creation of large biobanks for omics studies
EHR-Derived Data for the Study of Asthma in Philadelphia

University of Pennsylvania Health System (UPHS)
- Serves the greater Philadelphia area
- Maintains a clinical data warehouse with demographic and clinical data
UPHS Asthma Patient Characteristics

- Obtained 2011-2014 encounter and demographic data for asthma patients (ICD-9 493*)
- Inclusion criteria:
  - 18-80 years old
  - Followed for at least 3 years
  - Prescription for albuterol
  - At least 1 primary asthma ICD-9 code

→ 9,068 complete cases
UPHS Asthma Patient Characteristics

All UPHS patients (N=3,199,282)

<table>
<thead>
<tr>
<th>Gender</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>1,154,154 (39.8%)</td>
</tr>
<tr>
<td>Female</td>
<td>1,746,632 (60.2%)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Race/Ethnicity</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>1,705,967 (58.8%)</td>
</tr>
<tr>
<td>Black</td>
<td>842,345 (29.0%)</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Financial Class</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private</td>
<td></td>
</tr>
<tr>
<td>Medicaid</td>
<td></td>
</tr>
<tr>
<td>Medicare</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Smoking Status</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Never</td>
<td></td>
</tr>
<tr>
<td>Quit</td>
<td></td>
</tr>
<tr>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Age</th>
<th>Patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td></td>
</tr>
<tr>
<td>40</td>
<td></td>
</tr>
<tr>
<td>60</td>
<td></td>
</tr>
<tr>
<td>80</td>
<td></td>
</tr>
</tbody>
</table>
Characteristics of Asthma Patients with Exacerbations

- Defined as prescription for oral steroid & primary asthma ICD-9 code (493*)

<table>
<thead>
<tr>
<th>Number of Exacerbations</th>
<th>0</th>
<th>1-2</th>
<th>3-4</th>
<th>5+</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of Subjects (%)</td>
<td>6,042 (66.63)</td>
<td>2,639 (29.10)</td>
<td>273 (3.01)</td>
<td>114 (1.26)</td>
</tr>
</tbody>
</table>

- Measure associations with other variables

<table>
<thead>
<tr>
<th>Race</th>
<th>0</th>
<th>1-2</th>
<th>3-4</th>
<th>5+</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>3,034 (50.22)</td>
<td>1,252 (47.44)</td>
<td>99 (36.26)</td>
<td>12 (10.53)</td>
</tr>
<tr>
<td>Black</td>
<td>3,008 (49.78)</td>
<td>1,387 (52.56)</td>
<td>174 (63.74)</td>
<td>102 (89.47)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sex</th>
<th>0</th>
<th>1-2</th>
<th>3-4</th>
<th>5+</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>1,576 (26.08)</td>
<td>623 (23.61)</td>
<td>68 (24.91)</td>
<td>28 (24.56)</td>
</tr>
<tr>
<td>Female</td>
<td>4,466 (73.92)</td>
<td>2,016 (76.39)</td>
<td>205 (75.09)</td>
<td>86 (75.44)</td>
</tr>
</tbody>
</table>

Factors Associated with Exacerbations among Adults with Asthma According to EHR Data

<table>
<thead>
<tr>
<th></th>
<th>Crude OR</th>
<th>Adjusted OR</th>
<th>P-Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (10 years)</td>
<td>1.21 (1.18, 1.25)</td>
<td>1.14 (1.13, 1.18)</td>
<td>2.6e-13</td>
</tr>
<tr>
<td>Race</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>Reference</td>
<td>Reference</td>
<td></td>
</tr>
<tr>
<td>Black or African American</td>
<td>1.28 (1.17, 1.4)</td>
<td>1.16 (1.04, 1.29)</td>
<td>6.9e-03</td>
</tr>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>Reference</td>
<td>Reference</td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>1.13 (1.02, 1.25)</td>
<td>1.05 (0.95, 1.17)</td>
<td>3.6e-01</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not Overweight Or Obese</td>
<td>Reference</td>
<td>Reference</td>
<td></td>
</tr>
<tr>
<td>Overweight (25 to &lt; 30)</td>
<td>1.09 (0.96, 1.24)</td>
<td>1.05 (0.92, 1.20)</td>
<td>4.7e-01</td>
</tr>
<tr>
<td>Class 1 Obese (30 to &lt; 35)</td>
<td>1.28 (1.13, 1.48)</td>
<td>1.11 (0.98, 1.28)</td>
<td>1.6e-01</td>
</tr>
<tr>
<td>Class 2 Obese (35 to &lt; 40)</td>
<td>1.35 (1.16, 1.56)</td>
<td>1.11 (0.94, 1.31)</td>
<td>2.2e-01</td>
</tr>
<tr>
<td>Class 3 Obese (= or &gt; 40)</td>
<td>1.67 (1.45, 1.90)</td>
<td>1.32 (1.13, 1.56)</td>
<td>7.4e-04</td>
</tr>
<tr>
<td>Health Insurance Type</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private Insurance</td>
<td>Reference</td>
<td>Reference</td>
<td></td>
</tr>
<tr>
<td>Medicaid</td>
<td>1.21 (1.09, 1.35)</td>
<td>0.97 (0.85, 1.10)</td>
<td>6.4e-01</td>
</tr>
<tr>
<td>Medicare</td>
<td>1.53 (1.37, 1.7)</td>
<td>0.93 (0.72, 0.94)</td>
<td>6.2e-03</td>
</tr>
<tr>
<td>Smoking Status</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never</td>
<td>Reference</td>
<td>Reference</td>
<td></td>
</tr>
<tr>
<td>Quit Smoking</td>
<td>1.38 (1.24, 1.5)</td>
<td>0.99 (0.89, 1.10)</td>
<td>8.5e-01</td>
</tr>
<tr>
<td>Current Smoker</td>
<td>1.42 (1.26, 1.61)</td>
<td>1.15 (1.01, 1.32)</td>
<td>4.1e-02</td>
</tr>
<tr>
<td>Emphysema</td>
<td>3.21 (2.43, 4.25)</td>
<td>1.39 (1.03, 1.88)</td>
<td>3.2e-02</td>
</tr>
<tr>
<td>Sinusitis</td>
<td>1.47 (1.34, 1.6)</td>
<td>1.50 (1.35, 1.65)</td>
<td>2.0e-16</td>
</tr>
<tr>
<td>Pulmonary Circulation Disorder</td>
<td>2.21 (1.88, 2.61)</td>
<td>1.23 (1.02, 1.47)</td>
<td>2.6e-02</td>
</tr>
<tr>
<td>Fluid &amp; Electrolyte Disorder</td>
<td>1.97 (1.78, 2.19)</td>
<td>1.35 (1.23, 1.52)</td>
<td>6.5e-07</td>
</tr>
<tr>
<td>Obstructive Sleep Apnea</td>
<td>1.81 (1.62, 2.03)</td>
<td>1.15 (1.00, 1.31)</td>
<td>4.2e-02</td>
</tr>
<tr>
<td>Diabetes</td>
<td>2.05 (1.84, 2.29)</td>
<td>1.28 (1.13, 1.45)</td>
<td>1.0e-04</td>
</tr>
</tbody>
</table>

Spatial Distribution of Asthma Exacerbations

- Used residential address to map asthma exacerbation rates
- Generalized additive models measured differences while adjusting for race, age, BMI, smoking status, insurance class
- Global spatial heterogeneity present
- Significant hot spots and cold spots indicated by contour lines (p<0.01)

Important Health-Related Information is Missing from EHRs

- Socioeconomic status
- Education and health literacy
- Environmental exposures

This information can be captured by external sources and linked to EHR data via patient-specific geocodes.
Geospatially Varying Socioeconomic Conditions

- Data source: American Community Survey
- Twelve SES variables for all U.S. census block groups in Philadelphia from 2010-2014
- Composite score of “economic deprivation” obtained via factor analysis

Xie S and Himes BE AMIA Symp Proc (2018)
Geospatially Varying Exposure to Crime

- Data source: publicly available database from Philadelphia Police Department of all crime incidents in Philadelphia
- Crime rate calculated as crime density divided by population density
Geospatially Varying Exposure to Tree Cover

- Data source: assessment of tree canopy by University of Vermont Spatial Analysis Laboratory using automated object-based analysis approach that combined high resolution light detection and ranging (LiDAR) data and ancillary GIS data (building footprints, road polygons).
- Tree cover estimated as percent of land area composed of tree canopy in a circular 250-m moving window.

Xie S and Himes BE AMIA Symp Proc (2018)
Geospatially Varying Exposure to Vehicular Traffic

- Data source: annual average daily traffic (AADT) measurements for all major road segments in Philadelphia from the Pennsylvania Department of Transportation
- Traffic density calculated based on daily vehicle miles traveled (DVMT) using a 250-m circular moving window

Xie S and Himes BE AMIA Symp Proc (2018)
Spatial Heterogeneity of Asthma Exacerbations Decreased with Adjustment of Externally Sourced Variables

- EHR-derived data (2014-2016) restricted to 1,568 patients who had ≥1 outpatient encounter with a primary ICD-9/10 code for asthma and a prescription for albuterol.
- Generalized additive models of asthma exacerbations (570 cases and 998 controls) performed using:
  - EHR-derived variables (age, sex, race, BMI, smoking status, insurance class)
  - EHR-extracted variables + neighborhood deprivation, crime, and vehicular traffic

Global test for spatial heterogeneity

\[ p=0.005 \]

\[ p=0.064 \]
Air Pollution

- Associated with many adverse health outcomes, including asthma
- U.S. Environmental Protection Agency Data monitors air pollution using > 2,000 regulated monitors across the U.S. and provides data to the public
  - PM$_{2.5}$, CO, Ozone, NO$_2$, SO$_2$
Workflow of Linked EHR and Pollution Studies

1. Obtain EHR-derived data
2. Geocode patient addresses
3. Obtain estimates of pollution exposure at patient location for study time period
4. Identify associations between patient exposures and health outcomes

Greenblatt and Himes, AMIA IS (2019)
Exposure at a Given Geocoordinate is Interpolated from Sites with Measures

Greenblatt and Himes, AMIA IS (2019)
Pollution-Associated Risk Geospatial Analysis SITE (PARGASITE)

http://pargasite.org
http://GitHub.com/HimesGroup/Pargosite

Greenblatt and Himes, AMIA IS (2019)
PARGASITE has Pre-Computed Estimates of Air Pollution Measures Across the U.S.

- 10km x 10km grid across contiguous U.S.
- Inverse distance weighted estimates from 5 nearest EPA monitoring sites
- PM$_{2.5}$, Ozone, NO$_2$, SO$_2$, CO
- Monthly and yearly estimates Jan 2005 - Dec 2017

Greenblatt and Himes, AMIA IS (2019)
Plans to Expand PARGASITE

- Environmental data: vegetation, elevation, wind patterns, temperature
- Comparative studies of pollution measures: satellite data sources, portable pollution sensors
- Alternative geospatial interpolation methods: kriging, spline interpolation
Personal Monitoring of Fine Particulate Matter

AirBeam PM2.5 Sensors

Greater Spatial Resolution than EPA Monitors

http://aircasting.org
Future Directions

- Integrate Penn Medicine Biobank whole exome sequencing data into EHR-based studies
- Determine contribution of genetics vs. social, economic and environmental factors to asthma

Penn Airways Disease EHR-based study (PADES)
- Social, economic, and environment factor data from secondary sources
- EHR-derived clinical, drug and demographic data
- Penn Medicine BioBank WES data
- Genetic associations and polygenic risk scores while considering important factors that influence asthma
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http://himeslab.org